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Smart Security Management in Secure Devices

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Abstract. Among other threats, secure components are subjected to physical attacks whose aim is to recover the secret information they store. Most of the work carried out to protect these components generally consists in developing protections (or countermeasures) taken one by one. But this “countermeasure-centered” approach drastically decreases the performance of the chip in terms of power, speed and availability. In order to overcome this limitation, we propose a complementary approach: smart dynamic management of the whole set of countermeasures embedded in the component. Two main specifications for such management are required in a real world application (for example, a conditional access system for Pay-TV): it has to provide capabilities for the chip to distinguish between attacks and normal use cases (without the help of a human being and in a robust but versatile way); it also has to be based on mechanisms which dynamically find a trade-off between security and performance. In this article, a prototype which enables such security management is described. The solution is based on a double-processor architecture: one processor embeds a representative set of countermeasures (and mechanisms to define their parameters) and executes the application code. The second processor, on the same chip, applies a given security strategy, but without requesting sensitive data from the first processor. The chosen strategy is based on fuzzy logic reasoning to enable the designer to describe, using a fairly simple formalism, both the attack paths and the normal use cases. A proof of concept has been proposed for the smart card part of a conditional access for Pay-TV, but it could easily be fine-tuned for other applications.

Keywords: Hardware tamper resistance, Countermeasures against fault and side-channel attacks, Architectures and implementations, Architectures for trusted computing, Security in commercial consumer applications, Application of fuzzy logic

1 Introduction

Security is a key component for information technologies and communication. Among the security threats, a very important one is certainly due to vulnerabilities of the integrated circuits that implement cryptographic algorithms to ensure confidentiality, authentication or data integrity (such as smartcards). With the access to one of these circuits, the attacker tries either to reconstruct the functionality of the circuit (*reverse engineering*) or to recover cryptographic materials when the cryptographic algorithm is known (*physical or hardware cryptanalysis*). Both threats share a set of techniques. The first one, called *side channel attacks*, consists in observing some physical characteristics which are modified during the circuit's computation [25, 40, 39, 16, 9]. The second technique, called *fault attacks*, consists in disrupting the circuit's behavior [11, 35, 8, 37, 3, 17]. The third one consists in getting information about the chip design by direct inspection of its structure [42]. This inspection may be performed by using any kind of imaging techniques or by using destructive means such as abrasion, chemical etching or focused ion beam. Combination of those three techniques have also been proposed [7, 36].

Many protections have therefore been proposed to counter such attacks. Some protections (hereafter referred to as “sensors”) give information about the state of the system either by measuring the light, the voltage, the frequency or the temperature of the chip or by detecting errors during computations. This detection is generally based on spatial redundancy (i.e. performing the same computation several times simultaneously), temporal redundancy (i.e. performing the same computation several times) or information redundancy (i.e. performing a computation with more bits than required) [30, 31, 12] or ad-hoc sensors [19, 23]. Several mechanisms are also proposed to detect a modification in the software execution flow. In some cases, an additional hardware block is dedicated to this task [5, 28]. To render physical attacks more difficult, “noise” has been added, for example, by using an internal clock, by randomizing the order of the instructions, by adding dummy operations or by masking the internal computations that can be predicted by the attacker [34, 14, 2, 10, 15]. Another way to reduce sensitivity to side channel attacks consists in reducing the correlation between physical values (such as power consumption or electromagnetic radiation) and the data processed, for example, by using balanced data encoding and balanced place and route [22, 33, 38], by using power filters or electromagnetic shields. Finally, some countermeasures modify the functional behavior of the circuit in case of attacks. Such “reactions” may consist, for example, in temporarily stopping the communication with the reader (the card “mutes”) and/or resetting parts of the running software. The ultimate reaction consists in permanently destroying (i.e. killing) all the data (including sensitive information) stored in the chip.

In practice, the chip security is achieved by a combination of such countermeasures. Nowadays, state-of-the-art circuits can protect data for weeks, months and even years. But the implementation of these countermeasures not only drastically decreases the performance of the chip in terms of power and speed but also decreases its availability. In order to overcome this limitation, we propose

a complementary approach: implementing complex management (through the application of a “strategy of security”) of the whole set of countermeasures embedded on a chip. Such a “system-level” approach has already been addressed in [21]. The authors proposed mechanisms which reconfigure the architecture of cryptographic hardware blocks embedded in an FPGA in accordance with performance and energy consumption criteria. Our work addresses this trade-off but also the trade-off between security and availability. Besides, we consider that these trade-offs have to be adjusted only with technologies which are regularly available in smart cards (i.e. without the use of hardware reconfiguration capabilities provided by FPGAs).

In section 2, a representative case study (a conditional access system for Pay-TV) and the associated expected properties for complex strategy of security are detailed. In section 3, an example of such a strategy, based on fuzzy logic, is proposed. In section 4, an innovative hardware/software prototype enabling the execution of this strategy is described. Finally, in section 5, the results obtained with this prototype are presented.

2 Case study

In the following sections, an implementation of the chosen representative system, the smart card part of a conditional access system (hereafter referred to as the “host system”), is described. Its functional parts and some of its protections are presented. Finally, by expressing the difficulties of designing such a system, the specifications for complex strategies of security are highlighted.

2.1 Conditional Access System: Functional Part

The functional part of the conditional access system (or CAS) consists of a JavaCard application which is interpreted by a virtual machine (VM) which runs on a micro-controller.

Application: The Conditional Access Systems (CAS) protect content (such as radio, TV, data stream) by requiring certain criteria to be met before granting access to this content. One of the main criteria is to own a smart card that stores sensitive information. This information is used by the receiver to decipher the content. Security of this content is achieved by combining protections at different levels. A management key (MK) protects the transfer of access rights (subscriptions, the related period of validity, geographical localization of the receiver, etc.) and the transfert of the exploitation key (EK). This key, which is changed approximately once every month, protects the access criteria (CA) associated with the content and the value of the control word (CW). This control word, which is changed approximately once every 5 to 10s, protects the content. The sensitivity level of data which is handled by the application is noted DS . For the sake of simplicity, DS is hereafter supposed to be an element of $\{0, 1, \dots, 5\}$.

Virtual Machine: In order to be as close as possible to a real product and in order to benefit from the efficient software security features, a state-of-the-art virtual machine (VM) has been implemented in the host system. The chosen VM complies with the Java Card 2.2.2 specifications [24], and provides card content management capabilities based on the GlobalPlatform Standard [20]. Hence, it is possible to download and install on-card applications (or applets) running on top of the VM and to use standard Java Card and GlobalPlatform Application Programming Interfaces (APIs).

Host's micro-controller: The host sub-system is built on a 5-stage pipelined 32-bit Harvard RISC microcontroller able to execute one instruction per clock cycle. The instructions are stored in 640kB of ROM and the data in 256kB of RAM and in 128kB of EEPROM. The peripherals include two Universal Asynchronous Receiver Transmitters (or UARTs) compliant with the ISO7816 and RS232 standards and an Advanced Encryption Standard (or AES) crypto-engine (to accelerate the ciphering and deciphering of data) [32].

2.2 Protections

The different components of the host described above embed the protections described below. Some of them, such as the security sensors, may have outputs. Other countermeasures may be configured. Configuration parameters are either integer numbers (for redundancy level, insertion of dummy instructions and random power generator) or boolean values (which activate or not a security reaction). As will be explained in section 4.1, even though these protections are physically embedded in the host sub-system, these parameters are not driven by the host.

Security sensors Several sensors have been implemented or emulated. Some of them measure physical characteristics such as voltage, light intensity or chip temperature. Others detect errors during the execution flow of a piece of code, during I/O data transmissions or during computations. One way of detecting errors consists in performing the same computation several times and then comparing the results. If they are identical, the computation is considered error-free. If not, a counter of corrupted executions, noted CE , is increased. The number of times that the same computation is performed is called the “redundancy level” (noted “RL”). A redundancy level of “i” is noted “ $\times i$ ”. It is equal to 1 when the redundancy countermeasure is not activated but when $3 \leq RL$, the host has fault-tolerance capabilities.

The various sensors which are taken into account in our study and their different possible outputs are described in Table 1. The hardware (HW) or software components (“VM” denotes the virtual machine and “App” the application) which update these outputs are listed in the last column of this table. For example, the number of times that the check (performed by the application) of a Message Authentication Code (MAC) is false is noted ME . It takes its value

between 0 and 10^4 . Another sensor is the number of times that a cryptographic operation is performed with the same key. This number is noted CO .

Name	Values	Description	Updated by
LS	$\{0, 1, \dots, 5\}$	# of triggers of the light sensor	HW
VS	$\{0, 1, \dots, 10\}$	# of triggers of the voltage sensor	HW
EFE	$\{0, 1, \dots, 10\}$	# of corrupted execution flow	VM
CE	$\{0, 1, \dots, 10\}$	# of corrupted execution	VM
PE	$\{0, 1, \dots, 10\}$	# of wrong PIN	App
NE	$\{0, 1, \dots, 10^3\}$	# of methods processed without error	VM
ME	$\{0, 1, \dots, 10^4\}$	# of MAC errors	App
CO	$\{0, 1, \dots, 10^4\}$	# of cryptographic execution	App

Table 1. Outputs of the CMs

Insertion of Dummy Instructions (IDI) Another protection consists in increasing the level of noise by inserting dummy instructions (i.e. that are not useful) during computations. The execution of a program is thus made up of several sequences of valid instructions followed by dummy instructions. In our framework, which has been drawn from [4], two parameters D and N configure the countermeasure. D and N denote the maximum number of consecutive useful and dummy instructions respectively in a sequence. Let us call \mathcal{D} the random variable equal to the number of instructions in the sequences of useful instructions. The domain of \mathcal{D} is chosen equal to $\{1; \dots; D\}$. Let us call \mathcal{N} the random variable equal to the number of instructions in the sequences of useless instructions. The domain of this random variable is set equal to $\{0; \dots; N\}$. We consider that the random variables \mathcal{N} and \mathcal{D} follow uniform distributions. Note that N is equal to 0 when the countermeasure is not activated.

Random Power Generator (RPG) In order to blur the power consumption of the circuit, several Random Number Generators (RNG) may be activated. Assuming that each step t of this power consumption, noted $x(t)$, is drawn from a Gaussian shaped probability distribution (with a mean $\mu_c(t)$ and a constant standard deviation σ_c). Let us also suppose that the power consumption added by one random generator also follows a Gaussian distribution with a constant mean μ_R and with a standard deviation which is equal to σ_c . We also suppose that the different RNG are identical and that their power consumptions are statistically independent. The probability density function of the total power consumption, noted $x_{tot}(t)$, of the circuit when R RNG are activated is thus the following:

$$pdf(x_{tot}(t)) = \frac{e^{-\frac{(x_{tot}(t) - \mu_c(t) - R \cdot \mu_R)^2}{2 \cdot \sigma_c^2 \cdot (1 + R^2)}}}{\sigma_c \cdot \sqrt{1 + R^2} \cdot \sqrt{2 \cdot \pi}} \quad (1)$$

Note that R is equal to 0 when the RPG countermeasure is not activated.

Security reactions Two reactions have been taken into account: stopping the communication and resetting the software (hereafter noted “Mute/Reset”), or destroying all the data (hereafter noted “kill”). But several reactions could also be considered at the application level: forbidding the access to one or several TV channel packages, suppressing services such as video on demand, restricting the access to only free TV or program contents, etc.

Other protections In the case of a commercial device, other security features (such as power filters, shields, balanced logic, etc.) which can never be deactivated, are also supposed to protect the circuit, independently of all kinds of strategy of security.

2.3 Impact of protections

In this section, the impact on the performances and on the security of the parameters of the countermeasures described above is analyzed theoretically.

Performance criteria An estimation of the evaluation of performances in terms of speed, energy consumption and security is proposed above. Note that we consider only the threat related to side channel attacks (SCA) and differential fault attacks (DFA). In order to successfully mount such attacks, the attacker proceeds in a divide and conquer manner (i.e. he attacks small pieces of the key one by one). On each iteration of these attacks, he targets the result of one particular piece of computation, hereafter referred to the “targeted result”. It is, for example for SCA, the computation of a SBox during the first round. To mount a DFA, the attacker also needs the cipher text resulting from one or several faulty computations [26].

- The gain in terms of SCA, noted $FSCA$, is the ratio between the number of curves needed for the attacker to recover the key when the countermeasure is activated and the number of curves without countermeasure (the greater the better).
- The gain in terms of DFA, noted $FDFA$, is the ratio between the number of experiments needed to recover the key when the countermeasure is activated and the number of experimentations without countermeasure (the greater the better).
- The loss in terms of speed, noted $FTime$, is the ratio between the duration of a computation with the countermeasure and the duration of the same computation without countermeasure (the smaller the better).
- The loss in terms of energy, noted $FN RJ$, is the ratio between the energy consumption with countermeasure and the energy consumption without countermeasure (the smaller the better).

Note that the theoretical considerations described above are only approximations and will have to be validated with experimental results.

Impact of Redundancy

FSCA: We consider that the redundant computations generate identical power traces which could easily be added in the time domain by the attacker, decreasing the number of curves needed to recover the key by a factor RL .

FDFA: As explained in section 2.2, a redundant computation is associated with a comparison of the results in order to increment the counter CE if the results are different. To bypass the redundancy protection, the attacker will have to both avoid the update of the counter in case of error detection and realize several faults of the same value, noted e_0 , during the RL successive computations of the targeted result. The probability of realizing such a set of faults determines the number of realizations which are required to mount the attack. We shall call q the number of bits of the targeted result. If we consider that all the faults on these bits are equally probable, then the probability of realizing the same fault e_0 (whose value does not matter) during the RL successive execution of the targeted instruction is equal to $(1/2^q)^{RL-1}$. In classical DFA schemes, a fault generally has to affect 1 byte. So, the default value is chosen equal to $q = 8$.

FTime: In our framework, the redundant computations are not performed in parallel. We also assume that the comparison of the results is negligible in terms of computation time. Thus, the redundancy countermeasure increases the computation time by a factor RL .

FNRJ: We assume that the comparison of the results is negligible in terms of energy consumption. So, the redundancy countermeasure increases the energy consumption by a factor RL .

$$\begin{aligned} FSCA_{RL} &= \frac{1}{RL} \\ FDFA_{RL} &= (1/2^q)^{RL-1} \\ FTime_{RL} &= RL \\ FNRJ_{RL} &= RL \end{aligned}$$

Fig. 1. Performances for the redundancy level countermeasure

Impact of Insertion of Dummy Instructions Suppose that the m^{th} valid instruction should be the instruction which computes the targeted result. For the sake of simplicity, we consider that each instruction (useless or not) is executed in one clock cycle. The typical value for m is equal to 100-200 which corresponds to a software implementation on a 32-bit processor of a round of an

AES [32]. Let us define the random variable \mathcal{X} equal to the number of the clock cycle associated with the execution of the instruction m . Each realization x of this random variable, is equal to $x = \sum_{i=1}^k (d_i) + \sum_{i=1}^k (n_i)$, with k such that $\sum_{i=1}^k (d_i) = m$, with i being the i^{th} sequence of useless/useful instructions and with d_i and n_i the i^{th} realizations respectively of the random variables \mathcal{D} and \mathcal{N} . We have $x = m + \sum_{i=1}^k (n_i)$. We consider that, because $m \gg D$, x could be approximated by $x \sim m + \sum_{i=1}^q (n_i)$ with $q = 2 \cdot m / (D + 1)$. In these conditions, the density of probability of \mathcal{X} follows a normal distribution (μ_X, σ_X) with:

$$\mu_X = m + q \cdot \mu_N = m \cdot (1 + N/2) \quad (2)$$

$$\sigma_X^2 = q \cdot \sigma_N^2 = m \cdot \frac{N \cdot (N + 2)}{6 \cdot (D + 1)} \quad (3)$$

For the sake of simplicity, we consider, as proposed in [13], that m is uniformly distributed (with the probability 1 out of $2 \cdot \sigma_X$) between $m - \sigma_X$ and $m + \sigma_X$.

FSCA: In these conditions, the SCA peak is reduced by a factor $2 \cdot \sigma_X$ and the number of curves necessary to retrieve the key increases by a factor $4 \cdot \sigma_X^2$. But by using the sliding window method (with consists in reconstructing the peak by integrating the consumption curves on $2 \cdot \sigma_X$ samples) also described in [13], this saving in terms of number of power curves is only equal to $2 \cdot \sigma_X$.

FDFA: In order to realize a DFA, we suppose that the attacker is able to target clock cycles comprising between $m - \sigma_X$ and $m + \sigma_X$. In these conditions, he has one chance out of $2 \cdot \sigma_X$ to modify the instruction m . The number of faulty realizations is thus increased by a factor $2 \cdot \sigma_X$.

FTime: The formula 2 indicates that the computation time is increased by a factor $(1 + N/2)$.

FNRJ: Because we consider that useful and dummy instructions consume the same energy, the consumption of the circuit is also increased by a factor of $(1 + N/2)$.

$$FSCA_{IDI} = \begin{cases} 1 & \text{if } N=0 \\ 2 \cdot \sqrt{m \cdot \frac{N \cdot (N+2)}{6 \cdot (D+1)}} & \text{otherwise} \end{cases}$$

$$FDFA_{IDI} = FSCA_{IDI}$$

$$FTime_{IDI} = 1 + N/2$$

$$FNRJ_{IDI} = FTime_{IDI}$$

Fig. 2. Performances for the IDI countermeasure

Impact of Random Power Generator

FSCA: According to [13], if we call δ the amplitude of the SCA peak (i.e. the difference of the power consumption or electromagnetic radiation between data) and σ_c the standard deviation of the power consumption curve, the number of power curves necessary to recover the key has to be higher than $(\sigma_c/\delta)^2$. The activation of the R random generators increases this number by a factor $(1+R^2)$.

FDFA: We suppose that the RPG does not protect against differential fault attacks.

FTime: As the RPG processes at the same time as the computation, computation time is not increased by its activation.

FN RJ: We consider that the power consumption of an RNG is equal to α times the temporal mean of $\mu_c(t)$ (with $\alpha = 10\%$ because the random number generator is a small piece of hardware). In these conditions, the total energy consumption of the circuit is increased by a factor $(1 + \alpha \cdot R)$.

$$\begin{aligned} FSCA_{RPG} &= 1 + R^2 \\ FDFA_{RPG} &= 1 \\ FTime_{RPG} &= 1 \\ FN RJ_{RPG} &= (1 + \alpha \cdot R) \end{aligned}$$

Fig. 3. Performances for the RPG countermeasures

Combination of countermeasures When the different countermeasures are combined, factors computed above for the different countermeasures are simply multiplied for side-channel attacks, for the time of computation and the energy consumption. For the DFA, the chance of making the same fault (in order to bypass detection of RL) on the targeted result (whose position is blurred with IDI) is equal to the chance obtained in the first occurrence (i.e. $1/(2^q \cdot 2 \cdot \sigma_X)$) up to the redundancy level minus one. So we obtain:

Figure 5 displays the values of these factors for different values of D and N (with $RL = 2$ and $R = 3$). Note that the values do not share the same scale. This figure clearly shows that performance decreases as the security level increases.

2.4 Difficulties

The design of the smart card part of a CAS, as described in section 2.1, is a very challenging task because of the following specifications:

$$\begin{aligned}
FSCA &= FSCA_{RPG} \cdot FSCA_{IDI} \cdot FSCA_{RL} \\
FDFA &= FDFARPG \cdot FDFARL^{-1} \cdot FDFARL \\
FTime &= FSpeed_{RPG} \cdot FSpeed_{IDI} \cdot FSpeed_{RL} \\
FNRJ &= FNRJ_{RPG} \cdot FNRJ_{IDI} \cdot FNRJ_{RL}
\end{aligned}$$

Fig. 4. Performances for combination of the countermeasure

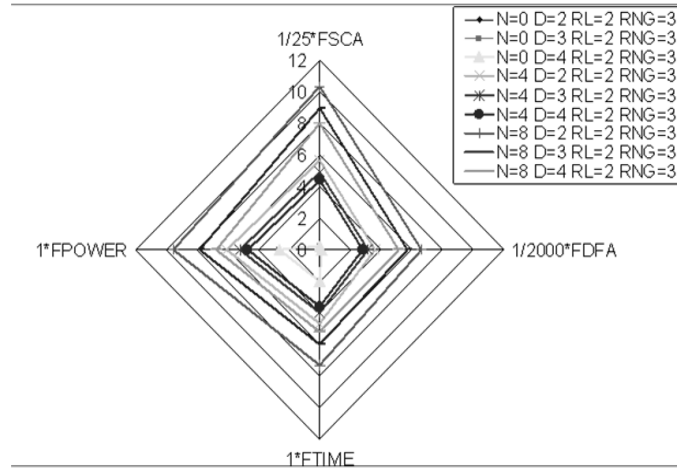


Fig. 5. Decrease of the performances with the increase of the security

- Its security level has to be very high: the security of a smart card is expected to hold for 2-5 years. But it is generally used for 8 years. In this condition, a card which resists one additional year represents large savings for the content and CAS providers.
- Its performance has to be high: For Pay-TV applications, the switch from channel to channel has to be as fast as possible.
- The availability has to be high: A malfunction of the card causes a prejudice for the user (who is no longer able to watch his favorite TV program) but also for the CAS provider who has to deal with expensive field feedback. A malfunction may be due to abnormal system usage (if the card, for example, is put in a very hot place) but also to incorrect system integration (if the card, for example, is inserted in a poor quality reader). Such cases will further be called “anomalies”, in the sense that the component operates in abnormal conditions but is not subjected to attacks.
- It processes data with various sensitivity levels. For example, the retrieval of the exploitation key (EK) would enable the pirate to decipher the control

word (CW) during the period of validity of the key EK. With this CW, he gains access to the content. So, the corruption of the key EK is a very serious security threat. On the contrary, knowing the value of the word CM at a given moment is of little value because it is only valid for a very short period of time.

- Its power consumption must be low if it is embedded in a mobile phone or in a multimedia tablet.
- It has to be inexpensive.

2.5 Specifications for strategies of security

Section 2.3 shows that the setup of the countermeasures defines the performances of the circuit in terms of security, speed and power consumption (but also availability). This section also shows that those performances are closely linked. For example, the increase in security causes the decrease in speed. Thus, the set of performances which may be reached, despite the wide range of setups of the countermeasures, is restricted. This restriction may be such that none of the performances of this set fulfills the specifications described above. In such a case, the specifications are antagonistic and the designer has to make a trade-off. He has, for example, to choose between availability and security.

We propose to add mechanisms which enable to dynamically modify the setups of the countermeasures, i.e. which enable to switch from a high performance but “poorly” secured state to a low performance but secured one. To draw an analogy, these mechanisms provide a new “degree of freedom” which make it possible to reach new sets of performances. In other words, these mechanisms release the links that exist between the different performances. We consider that a smart strategy of security should be able to control these mechanisms to fulfill all the specifications (or at least as many as possible).

3 Example of strategy of security

In this section, a complex strategy of security tailored for the application described in section 2.1 is detailed. For this application, the main goal is to distinguish between anomalies and attacks. For example, let us consider the hardware voltage sensor implemented to detect voltage glitch attacks. Its detection level may be set at the time of design to a particular value. If this value is too low, the sensor may trigger when the chip is connected to a non-malicious but low quality card reader. It may be interpreted as a fault attack at application level, causing the card to self-destruct. Such an anomaly considered as an attack will further be called “false positive” case. On the contrary, if the value of the detection level is too high, real glitch attacks may not be detected. Such an attack considered normal will further be called “false negative” case. The strategy must be designed in order to minimize both rates of false positive and false negative. In the first case availability is increased. In the second case, security is increased.

One way to reduce these rates consists in applying methods proposed in Intrusion Detection Systems (or IDS) [6]. The first method consists in determining the whole set of states of the system reached by a user with “normal” behavior. Each time the state of the system leaves this set, an “anomaly” is detected. The second method is complementary. It consists in determining the whole set of states which would have to be reached by an evil-minded user to perform an attack. When the system enters this set, misuse (considered an attack) is detected. Contrary to classical IDS, the component is off-line most of the time. So, it has to react autonomously without any outside help. In order to obtain, in this context, both robust and secure behavior, mechanisms must detect both anomalies and misuses.

According to principles developed for IDS, the chosen strategy of security is decomposed into three different processes: The first consists in collecting information about the state of the host system, the second in computing the anomaly and misuse levels and the third in modifying the parameters of the countermeasures.

3.1 Information sources

We consider that the sensitivity level of data DS (provided by the application and defined in 2.1) and the outputs $\{LS, VS, EFE, CE, PE, NE, ME, CO\}$ (provided by the sensors and defined in section 2.2) are the inputs of the analysis algorithm. More generally, each input is called S^i and takes its values s^i between 0 and S_{max}^i , with S_{max}^i chosen as a multiple of 5. Note that, for clarity, when only one input is taken into account, the superscript i is omitted. The vector of all the inputs’ values is called $\mathbf{S} = \{s^0, \dots, s^j\}$.

3.2 Analysis

As the secure circuit designer generally expresses the anomaly and misuse cases with words which are very often vague and inaccurate, fuzzy logic has been used to formalize the analysis mechanism [43, 18]. Within this framework, the detection process is mainly described by a set of “IF-THEN” rules (expressed with words or “linguistic variables” whose meanings are precisely defined with “fuzzy sets”) and an inference process (i.e. computing the misuse and anomaly level (resp. ML and AL). This process can be activated, for example, each time an input value is modified. The process chosen for inferring a decision from fuzzy rules and inputs was first proposed by Mamdani in [27]. As reported in the following pseudo-code, this process consists in fuzzifying the inputs, then computing the degree of truth of the rules, then aggregating the results of these rules and lastly “defuzzifying” them to obtain the output values. Only the computation of the misuse level is described in detail below (the computation of the anomaly level being strictly identical).

Fuzzy subsets

Algorithm 1 Algorithm for calculating the Misuse Level (ML)

Require: Inputs: Scalar values of the inputs (S)

Ensure: Output: Misuse Level (ML)

Require: Fuzzy Sets for inputs

Require: Fuzzy Sets for the outputs

Require: The set of rules

Fuzzify the values of the inputs

Compute the degree of truth of each rule of the rule set

Aggregate all the rules to obtain the membership function of the ML

Defuzzify this membership function to obtain the scalar value of the ML

Definition The significance of the words (or “linguistic variables”) “low” and “high” for the inputs and outputs are defined with “fuzzy subsets”. The *membership function*, denoted μ_A , of a fuzzy subset A is a generalization of the characteristic function in classical set theory. It is any function mapping the values s of the input S to the real unit interval $[0, 1]$. The value $\mu_A(s)$ is called the *membership degree* of s in the fuzzy subset A . This degree quantifies the grade of membership of the element s to the fuzzy subset A : the value 0 means that s is not a member of the fuzzy set; the value 1 means that s is a full member of the fuzzy set. The values between 0 and 1 characterize fuzzy members, which belong to the fuzzy set only partially. The degree of membership also quantifies the degree of truth of the assertion “ s is A ” (which is true with a degree $\mu_A(s)$).

Membership functions for inputs Firstly consider the fuzzy sets associated with the value of the input S^i . The 8 membership functions (or fuzzy subsets) selected for each input S^i are presented in Table 6. For example, let us consider the voltage sensor input whose maximum value is equal to $VS_{max} = 10$. If this input is equal to 3 (and so is comprised between $VS_{max}/5$ and $2 \cdot VS_{max}/5$) it is considered “rather high” with a grade of truth of $1/4$ and “very low” with a grade of truth of $1/2$. If this voltage sensor value is equal to 7 (and so is comprised between $3 \cdot VS_{max}/5$ and $4 \cdot VS_{max}/5$) it is considered “high” with a grade of truth of $2/3$ and “very very low” with a grade of 0.

Membership functions for outputs The outputs of the analysis mechanisms are the levels of anomaly and misuse. Their values are real values in $[0, 1]$. The two different membership functions “LOW” and “HIGH”, considered for these outputs are described in Table 2:

Name	LOW	HIGH
$o \in [0; 0, 2]$	1	0
$o \in]0, 2; 0, 8]$	$-5/3 \cdot o + 2/3$	$5/3 \cdot o - 1/3$
$o \in]0, 8; 1]$	0	1

Table 2. Membership functions for outputs

Name	Rather Low	Low	Very Low	Very Very Low	Very Very High	Very High	High	Rather High
Acronym	$L_{-}^{S_{max}^i}$	$L_{--}^{S_{max}^i}$	$L_{---}^{S_{max}^i}$	$L_{----}^{S_{max}^i}$	$H_{++++}^{S_{max}^i}$	$H_{+++}^{S_{max}^i}$	$H_{++}^{S_{max}^i}$	$H_{+}^{S_{max}^i}$
$s^i \in [0; S_{max}^i/5]$	1	1	1	1	0	0	0	0
$s^i \in]S_{max}^i/5; 2 \cdot S_{max}^i/5]$	3/4	2/3	1/2	0	0	0	0	1/4
$s^i \in]2 \cdot S_{max}^i/5; 3 \cdot S_{max}^i/5]$	1/2	1/3	0	0	0	0	1/3	1/2
$s^i \in]3 \cdot S_{max}^i/5; 4 \cdot S_{max}^i/5]$	1/4	0	0	0	0	1/2	2/3	3/4
$s^i \in]4 \cdot S_{max}^i/5; S_{max}^i]$	0	0	0	0	1	1	1	1

Fig. 6. The 8 membership functions (or fuzzy subsets) for an input S^i (S_{max}^i is the maximum value of this input)

Set of Rules The security rules are expressed in terms of “IF-THEN” rules. The “IF” term is the premise (or precondition). The “THEN” term is the conclusion. The premises are generally expressed as boolean operations on fuzzy subsets.

Operations on fuzzy subsets The boolean operations such as AND, OR and NOT are also defined in fuzzy logic. In the following, we consider the standard Zadeh operators on fuzzy subsets A_0 and A_1 respectively defined on variable x and y such as:

$$\begin{aligned}
Z_NOT : \mu_{NOT(A_0)}(x) &= 1 - \mu_{A_0}(x) \\
Z_AND : \mu_{AND(A_0, A_1)}(x, y) &= \min(\mu_{A_0}(x), \mu_{A_1}(y)) \\
Z_OR : \mu_{OR(A_0, A_1)}(x, y) &= \max(\mu_{A_0}(x), \mu_{A_1}(y))
\end{aligned}$$

The extension of Z_AND and Z_OR to “n-ary” operators is trivially defined and denoted as:

$$\begin{aligned}
\mu_{AND(A_0, \dots, A_k)}(x_0, \dots, x_k) &= \min(\mu_{A_0}(x_0), \dots, \mu_{A_k}(x_k)) \\
&= \min_{j=0}^k(\mu_{A_j}(x_j)) \\
\mu_{OR(A_0, \dots, A_k)}(x_0, \dots, x_k) &= \max(\mu_{A_0}(x_0), \dots, \mu_{A_k}(x_k)) \\
&= \max_{j=0}^k(\mu_{A_j}(x_j))
\end{aligned}$$

Example of rules set Two examples of rules noted R_0 and R_1 expressed with natural language are reported above. They are also expressed in two more compact but equivalent ways by using the notation described above.

The degree of truth of the premise is a real number in $[0, 1]$ which depends on the values \mathbf{S} of the inputs. This degree of truth of the premise of the rule i is denoted $pre_i(\mathbf{S})$. As described in Table 7, we distinguish the rules which conclude to a LOW value for misuse (noted “LOW-m” rules) and those which conclude to the HIGH values for misuse (noted “HIGH-m” rules). The strategy

R_0	IF the number of PIN code error is rather high OR the number of triggers of the voltage sensor is high THEN the misuse is high
	IF (PE is H_+ OR VS is H_{++}) THEN Misuse is HIGH
	IF $\mu_{OR(H_+, H_{+++})}(PE, VS)$ THEN $\mu_{HIGH}(Misuse)$
R_1	IF the number of methods that have processed without error is very high THEN the misuse is low
	IF (NE is H_{+++}) THEN Misuse is LOW
	IF $\mu_{H_{+++}}(NO_E)$ THEN $\mu_{LOW}(Misuse)$

Table 3. Examples of rules set

of security is expressed in our system by using several rules (about a dozen). Without loss of generality, we reorder the rules so that those numbered from 0 to $q - 1$ are “LOW-m” rules and those numbered from q to p are “HIGH-m” rules. The considered set of rules is noted \mathcal{R} and reported in Figure 7.

	Rule number	Rules
LOW-mHIGH-m	R_0	IF $pre_0(\mathbf{S})$ THEN Misuse is LOW
	\dots	\dots
	R_{q-1}	IF $pre_{q-1}(\mathbf{S})$ THEN Misuse is LOW
	R_q	IF $pre_q(\mathbf{S})$ THEN Misuse is HIGH
	\dots	\dots
	R_p	IF $pre_p(\mathbf{S})$ THEN Misuse is HIGH

Fig. 7. Considered set of rules \mathcal{R}

Compute the degree of truth of the rules

Computing the values of the premises The degree of truth of each premise is computed first by evaluating the degree of membership of the input S^i to fuzzy subsets. Then, if necessary, the Zadeh operators are applied according to the premise’s formula. Examples of such computations are reported on Table 8 for three different triplets of input values.

It is important to note that because we have chosen input membership functions that are discontinuous and because we have chosen Zadeh operators, regardless of the values of the entries and regardless of the different formulæ used to express the premise i , the result $pre_i(\mathbf{S})$ is always an element of the set $P = \{0; 1/4; 1/3; 1/2; 2/3; 3/4; 1\}$;

Modification of the membership function of the conclusion of a rule In the method proposed by Mamdani, the degree of truth of the premise of a rule modifies the membership function of its conclusion. The modification consists in

Sensors	Case 1	Case 2	Case 3
$S^0 = PE$	3	5	9
$S^1 = VS$	6	2	8
$S^2 = NE$	300	900	700
$\mu_{H_+}(PE)$	1/4	1/2	1
$\mu_{H_{++}}(VS)$	1/3	0	2/3
$pre_0(\mathbf{S}) = \mu_{OR(H_+, H_{++})}(PE, VS)$	1/3	1/2	1
$pre_1(\mathbf{S}) = \mu_{H_{++++}}(NE)$	0	1	2/3

Fig. 8. Examples of degree of truth of the premises of R_0 and R_1

truncating the membership function $A_k(y)$ of the conclusion with the value of the premise, that is:

$$\mu_{R_k}(y|\mathbf{S}) = \min(pre_k(\mathbf{S}), \mu_{A_k}(y))$$

The modified membership function of the conclusion of rules R_0 and R_1 are respectively shown in Figure 9 and 10 for different values of inputs.

In fuzzy logic inference mechanisms, all the rules are considered to fire in parallel. So, at first glance, the use of this logic could lead to inconsistency, that is, several rules could lead to different conclusions (for example, the misuse is both HIGH and LOW). The aim of aggregation of rules and defuzzification is to compute a unique value for the decision.

Aggregation of rules The different rules are considered to be linked together with the operator OR. So, combining the rules consists in taking for all $y \in [0, 1]$, the maximum value of the conclusions of the different rules, according to the following formula:

$$\mu_{\mathcal{R}}(y|\mathbf{S}) = \max_{k=0}^p(\mu_{R_k}(y|\mathbf{S}))$$

Figure 11 shows the membership functions obtained by combining the rules R_0 and R_1 for the three cases described above.

Defuzzification The operation of defuzzification consists in calculating a scalar value (also called “crisp” value) for the output membership function of the conclusion ($\mu_{\mathcal{R}}(y|\mathbf{S})$), obtained given a set of entries and a set of rules. Before explaining this process of defuzzification, this output membership function is rewritten by taking into account the properties of our set of rules.

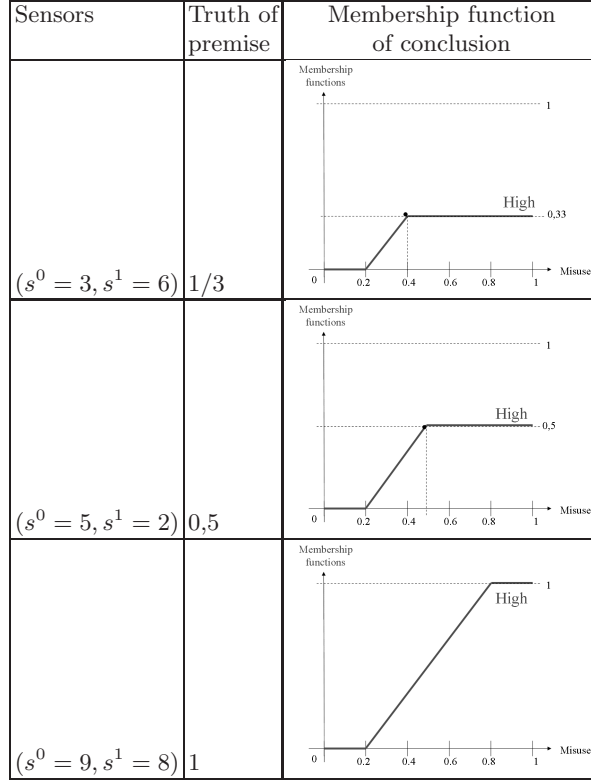


Fig. 9. Modification of the membership function according to the value of inputs (rule R_0)

Output membership function rewriting The fuzzy subset of $LOW - m$ is defined as:

$$\begin{aligned}
 \mu_{LOW-m}(y|\mathbf{S}) &= \max(\min(pre_0(\mathbf{S}), \mu_{LOW}(y)), \\
 &\quad \dots, \min(pre_{q-1}(\mathbf{S}), \mu_{LOW}(y)) \\
 &= \min(\max(pre_0(\mathbf{S}), \\
 &\quad \dots, pre_{q-1}(\mathbf{S})), \mu_{LOW}(y)) \\
 &= \min(\max_{k=0}^{q-1}(pre_k(\mathbf{S})), \mu_{LOW}(y))
 \end{aligned}$$

In the same way, the fuzzy set associated to the “HIGH-y” rules is defined as:

$$\mu_{HIGH-m}(y|\mathbf{S}) = \min(\max_{k=q-1}^p(pre_k(\mathbf{S})), \mu_{HIGH}(y))$$

As explained in section 3.2, the different premises pre_k are in the set P . So, let us define p_l and p_h and the membership functions $\mu_{LOW-m}(y)^{p_l}$ and

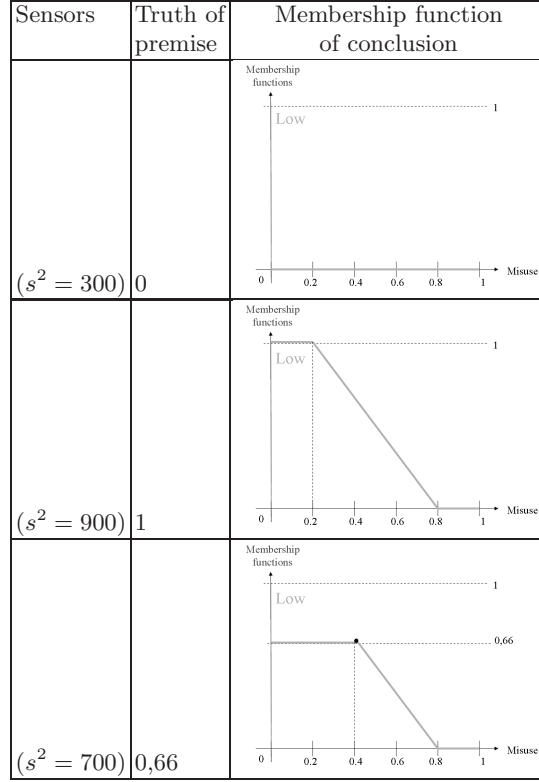


Fig. 10. Modification of the membership function according to the value of inputs (rule R_1)

$\mu_{LOW-m}(y)^{p_h}$ such as:

$$\begin{aligned}
 p_l &= \max_{k=0}^{q-1} (pre_k(\mathbf{S})) \in P \\
 p_h &= \max_{k=q}^p (pre_k(\mathbf{S})) \in P \\
 \mu_{LOW-m}(y)^{p_l} &= \min(p_l, \mu_{LOW}(y)) \\
 \mu_{HIGH-m}(y)^{p_h} &= \min(p_h, \mu_{HIGH}(y))
 \end{aligned}$$

The output membership function for the output y can in these conditions always be written in the following form:

$$\mu_{\mathcal{R}}(y)^{p_l, p_h} = \max(\mu_{LOW-m}(y)^{p_l}, \mu_{HIGH-m}(y)^{p_h})$$

Defuzzification techniques There are different kinds of defuzzification techniques to compute the crisp output from the output membership function. We have considered four of the more popular ones, called “centroid” (CT), “mean of

Sensors	Membership function of conclusions	Defuzzification techniques					
		P_l	P_h	Centroid	MofM	FofM	LofM
$s^0 = 3$ $s^1 = 6$ $s^2 = 300$		0	0,33	0,65	0,7	0,4	1
$s^0 = 5$ $s^1 = 2$ $s^2 = 900$		1	0,5	0,42	0,1	0	0,2
$s^0 = 9$ $s^1 = 8$ $s^2 = 700$		0,66	1	0,55	0,9	0,8	1

Fig. 11. Membership function of the output according to the value of inputs (combination of rules R_0 and R_1) and associated crisp values for different defuzzification techniques

max” (MM), “first of max” (FOM) and “last of max” (LM). For example, the FOM crisp value is computed using the formula:

$$FOM(\mu(y)) = \min\{v \in [0, 1] | \mu(v) = SUP_{y \in [0, 1]} \{\mu(y)\}\} \quad (4)$$

Then, we have computed their values for the whole set of possible values of premises, that is, for all of the 49 membership functions $\mu_{\mathcal{R}}(y)^{P_l, P_h}$ with $P_h \in P$ and $P_l \in P$. The results for First Of Max (“FOM”) is reported in Table 4.

	P_h							
FOM	0.00	0.25	0.33	0.50	0.67	0.75	1.00	
P_l	0	0	0.35	0.4	0.5	0.6	0.65	0.8
	0.25	0	0	0.4	0.5	0.6	0.65	0.8
	0.33	0	0	0	0.5	0.6	0.65	0.8
	0.5	0	0	0	0	0.6	0.65	0.8
	0.66	0	0	0	0	0	0	0.8
	0.75	0	0	0	0	0	0	0.8
	1	0	0	0	0	0	0	0

Table 4. First of Max results for $\mu_{\mathcal{R}}(y)^{p_l \cdot p_h}$

3.3 Configuration of countermeasures

The configuration of the countermeasures has been chosen from the analysis of their impact, described in section 2.3. We have evaluated the impact of the RPG for $R \in \{0; 3; 10\}$ (the value for α is chosen equal to 10%). As the cost of the redundancy technique is very high, we have only considered $RL \in \{1; 2; 3\}$ (the default value for q is 8) and the impact of the IDI for $D \in \{0; 4; 8\}$ and for $N \in \{2; 3; 4\}$ (the value for m is chosen equal to 150). Among all those possibilities, only four configurations of the different countermeasures are taken into account. These configurations are chosen since they cover a large range of security/performance trade-offs. They are reported in Table 12. It is important to note that whatever the configurations, the different physical sensors are always activated. It is also important to note that, in practice, the security level is determined as the minimum value between $FDFA$ and $FSCA$ (in bold in the table).

Config.	Sensors	RL	RPG	IDI	Mute or Reset	Kill	FSCA	FDFA	Time	Energy
<i>Safe</i>	ON	$\times 1$	0	$(D = 2; N = 0)$	No	No	1,0	1,0	1,0	1,0
<i>Unsafe</i>	ON	$\times 2$	3	$(D = 3; N = 4)$	No	No	122,5	6270,7	4,0	5,2
<i>Critical</i>	ON	$\times 3$	10	$(D = 4; N = 8)$	Yes	No	1346,7	1,0E+08	7,8	15,6
<i>Fatal</i>	-	-	-	-	-	Yes		-		

Fig. 12. Countermeasure configurations

The function which assigns the configuration to each value of the anomaly (AL) and misuse (ML) levels is described in Table 13. The different thresholds have been determined according to the parameters chosen for the analysis process.

	<i>ML</i>					
		[0; 0.2]]0.2; 0.4]]0.4; 0.6]]0.6; 0.8]]0.8; 1]
1 – <i>AL</i>	[1; 0.8]	<i>Safe</i>	<i>Safe</i>	<i>Unsafe</i>	<i>Critical</i>	<i>Fatal</i>
]0.8; 0.6]	<i>Safe</i>	<i>Unsafe</i>	<i>Unsafe</i>	<i>Critical</i>	<i>Fatal</i>
]0.6; 0.4]	<i>Unsafe</i>	<i>Unsafe</i>	<i>Unsafe</i>	<i>Critical</i>	<i>Fatal</i>
]0.4; 0.2]	<i>Unsafe</i>	<i>Unsafe</i>	<i>Critical</i>	<i>Critical</i>	<i>Fatal</i>
]0.2; 0]	<i>Unsafe</i>	<i>Critical</i>	<i>Critical</i>	<i>Fatal</i>	<i>Fatal</i>

Fig. 13. Configurations of the countermeasures according to the Misuse (ML) and Anomaly (AL) Levels

4 Prototyping

This section describes a hardware implementation which has been defined for our case study but which could also be used for different strategies of security.

4.1 Architecture

We choose an architecture, represented in Figure 14, which separates the calculations related to the execution of the application software and those related to the management of the security. This leads to the addition of a system called “monitor” and strictly dedicated to the management of the security. In the left part of the figure, the host system, described in section 2.1, is represented with its software part (the CAS application running above the JavaCard virtual machine) and its hardware part (the 32-bit RISC microcontroller). Each of these parts implements its own set of countermeasures described in section 2.2. These countermeasures may be configured via signals noted “CMs config.” and may give information about the state of the host via signals noted “CMs outputs”. The signal which indicates the sensitivity of the data handled by the application is noted *DS*. All these signals are exchanged between the host and the monitor through a communication channel. The monitor is represented in the right part of the figure. The software parts of the monitor are the strategy of security and the component-based operating system. Its hardware part is a micro-controller.

The hardware and software parts of the monitor and an example of communication between the host and the monitor are described above.

Operating system and strategy of security: The monitor uses a specific component-based operating system. It needs a small memory footprint (less than 4kB) and it responds in less than a hundred clock cycles to the host’s requests. An application is a set of components linked together through exchange memory zones. A component is a set of ports towards these exchange zones, of private attributes and of methods (which describe its behavior). The strategy of the security formalized by using fuzzy logic and described in section 3 is embedded in such a component. It is important to note that the “fuzzification” of the input sensor values is reduced to tests of membership of a value to an interval and that

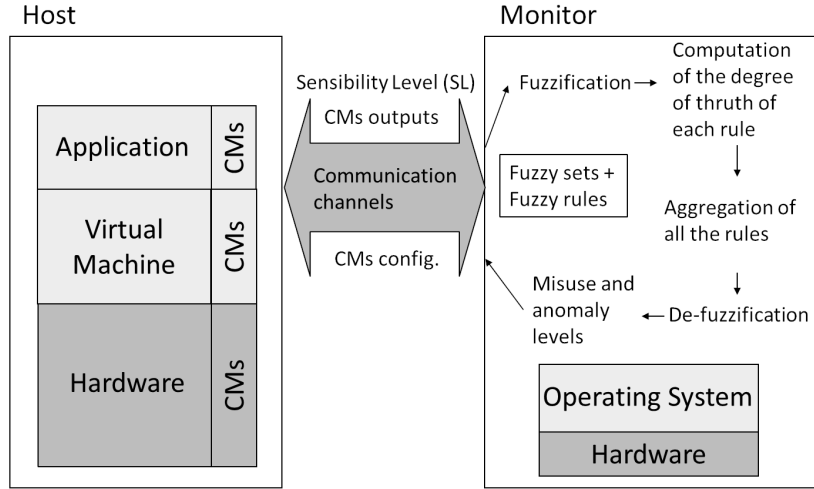


Fig. 14. Architecture of the prototype (hardware in dark grey, software in grey)

the computation of the premises is only the application of min/max operators according to the premises' formulæ. Also note that, thanks to off-line precomputations and the choice of the fuzzy sets, the defuzzification is reduced to the access in a table of size 7×7 elements (cf Table 4). The complete strategy can thus be easily implemented in a very simple processor.

Monitor's micro-controller: The micro-controller of the monitor is built around a 5-stage pipelined 32-bit Harvard RISC processor. To increase the security of the system, this processor does not share any piece of hardware with the host system and in particular it has its own addressing space: the instructions are stored in 32kB of ROM and data in 4kB of RAM. The peripherals comprise one UART, two FIFOs and an Interrupt Controller Unit (ICU). These ICU and FIFOs provide two communication channels: The monitor is informed of the events occurring in the host (without having access to the sensitive data themselves) and in return, the monitor is able to change the configuration of the countermeasures embedded into the host.

Communication between the host and the monitor: The communication between the host and the monitor is based on a request/acknowledge protocol. The request is always initiated by the host. The host waits after its request until the monitor responds. The following example describes such a communication initiated by the application.

1. The application indicates that the sensitivity of the data that it manipulates (DS) is changing.
2. The virtual machine sends this information to the monitor via the FIFOs.

3. The host stops its current execution.
4. From the fuzzy sets and the fuzzy rules defined by the user, the monitor processes the CM's outputs and the DS's value by using the fuzzy reasoning described in section 3.2. The outputs of this reasoning are the misuse and the anomaly levels. These levels are used to select the configuration of the countermeasures thanks to Tables 13 and 12 reported in section 3.3.
5. The monitor asks to the host system to configure software (via FIFOs) and hard wired countermeasures (via the ICU).
6. The monitor waits until all the countermeasures are configured and are ready.
7. The monitor asks to the host system to resume its execution.

4.2 FPGA synthesis results

The whole system has been implemented in the Xilinx Virtex-5 FPGA of an ML501 evaluation platform. This platform has been extended with an ISO7816 interface adapter (for an easy connection with off-the-shelf smart card readers) and with an additional RS232 serial interface (for trace or debug purposes). The details of the hardware implementation of the host, of the monitor and of the communication channels are described in Figure 15.

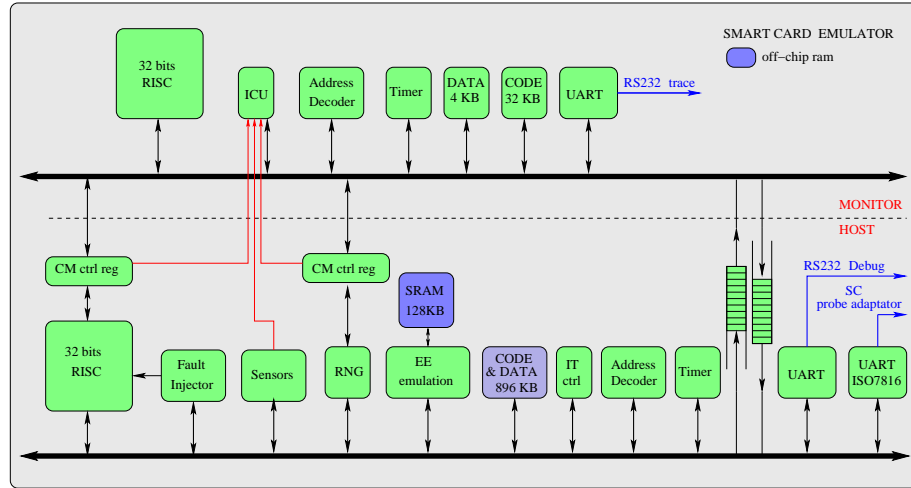


Fig. 15. Hardware part of the prototype

The combinatorial part of the system (i.e. excluded the memory areas) uses 48% (3490 slices out of 28800) of the total FPGA's resources in term of slices. As a reminder, a slice is the smallest hardware element used in a Xilinx FPGA. A slice contains four D flip-flops associated to four look-up tables with six independent inputs. Excluding the monitor, the resources usage falls to 34% (2462

slices out of 7200). So, the combinatorial part of the monitor represents around 40% of the combinatorial part of the whole system. As the resources associated with the memory parts are far larger than the combinatorial ones, the estimated total overhead (i.e. including the memory areas) decreases to about 7%. But it is important to note that this total overhead greatly depends on the size of the host's memories. For example, for a smaller host (i.e. with 256kB of ROM, 32kB of RAM and 128kB of EEPROM), the total overhead rises to approximately 18%.

5 Results

Different steps have been planned to validate the strategy of security and the associated HW/SW architecture. The first step consists in performing a security analysis of the behavior of the whole system. The second step consists in playing "real-life" scenarios and verifying the responses of the system by simulation. Future work will consist in performing "real" life attacks on the FPGA.

5.1 Security analysis

Side channel analysis For this discussion, we distinguish two kinds of information leakage. The first kind is "directly" related to the value of sensitive data (such as cryptographic keys) and is exploited with classic side channel techniques. The second kind of leakage is not directly linked to the sensitive data but only helps the attacker to understand the functioning of the system and so, to focus his attacks. For example, if the circuit blurs the manipulation of sensitive data with the activation of RPG, the attacker could easily detect, by measuring the power consumption, the location in time of this sensitive computation. This information will help him perform his side channel attacks on this location.

The system is protected against direct information leakage by the host's countermeasures. The theoretical efficiency of some countermeasures is estimated in Section 2.3. Several methods have also been proposed to quantify in practice the direct information leakage of circuits in terms of "number of traces to recover the key". One of these methods, described in [39] is based on a profiled information theoretic analysis. If the security of the host has been first estimated with such a worst-case security evaluation, the user is able to know how many bits per trace the host leaks. From this data, from the number of cryptographic executions which has been done with the same key (sensor *CO*) and from the number of times a MAC is false (sensor *ME*), he is able to estimate the number of bits of the key which could have been retrieved by the attacker. Depending of this number, the strategy of security could consist, for example, in increasing the level of noise or the number of random instructions. Besides, as the host sends to the monitor only the values of the sensors and the sensitivity level of the data handled by the application, there is no additional direct information leakage due to the communication channels or due to the monitor.

The indirect information leakage is linked to the “discretion” of the host’s countermeasures. We consider that the computation of the configuration of the countermeasures executed in the monitor is very small compared to the signature of the countermeasure itself and so does not represent an additional indirect leakage of information. Besides, a more complex strategy of security such as the one described in Section 3, could be defined in order to reduce the indirect information leakage (for example, by creating a randomly high level of noise even when no sensitive data is manipulated).

Fault attacks As for protections against side channel attacks, the system is mainly protected by the host’s countermeasures. In particular, the host has to embed highly effective protections in order to be protected against highly effective attacks such as those described in [29] (which enable the pirate to retrieve the key with only one faulted execution). In the following discussion, we consider that it is the case, at least when sensitive data is handled by the application. During fault attacks, several cases have been distinguished:

- If the functioning of the monitor and of the communication channels are not corrupted during a fault attack, two sub-cases are possible:
 - The functioning of the host is not corrupted during the fault attack. In this case, the system functions normally.
 - The functioning of the host is corrupted during the fault attack. In this case, as the host is protected by using error detection or correction, the host stops its computation, the counter of corrupted execution is incremented and this information is processed by the monitor. The monitor will decide to increase the redundancy level until providing error correction capabilities (rendering DFA ineffective). The only possibility for the pirate in such a case consists in bypassing the HW/SW error detection/correction capabilities of the host to avoid the increase of the redundancy level.
- If the functioning of the monitor (or of the communication channels) are corrupted during a fault attack, this incorrect functioning does not directly give information about sensitive data because the monitor never handles such data but two sub-cases are possible:
 - The monitor is unable to compute a configuration. Two sub-sub-cases have to be taken into account:
 - * The functioning of the host is not corrupted during the fault attack. In this case, as the host waits after any request until the monitor responds, there is no risk of external communications of data.
 - * The functioning of the host is also corrupted during the fault attack. In this case, as the host is protected by using error detection or correction, the host stops its computation and a request towards the monitor is triggered through the increase of the counter of corrupted execution. As the host waits after this request until the monitor responds, there is no risk of external communications of data. The only possibility for the pirate in such a case consists in bypassing

the HW/SW error detection or correction capabilities of the host to avoid any request towards the monitor.

- The monitor computes an incorrect configuration of the countermeasures. In this case, the sensitive data is still protected by the countermeasures that can never be deactivated and by this incorrect set of countermeasures. In the worst case, the attacker will have to face a lower level of security. In the best case, he will have to face a higher level of security. But in both cases, the host has to be attacked after the monitor.

This discussion shows that in order to take advantage of the proposed architecture, the pirate has to attack first the monitor and, in a second step, to attack the host. Such a scenario is unfortunately possible with state-of-the-art attack equipment but we consider that, in practice, it leads to more difficult attacks.

5.2 Simulations of scenarios

The second analysis consists in defining several attack and normal use scenarios and playing these scenarios on an algorithmic model of the prototype. For example, consider a scenario where the card is connected to a low quality card reader. In such an abnormal case, the electrical connection between the two devices regularly triggers the voltage sensor. In the first part of the scenario (called I), there is no error and the level of security remains low, as represented in Figure 16 (top). In this figure, the x-axis corresponds to the time and the y-axis to the levels of security. In the second part (called II) of the scenario, the voltage sensor alone is triggered. The security level increases slowly (because we consider that these mistakes are not important). In the third part (called III) of the scenario, the MAC error sensor ME is also triggered. In this part, the security level increases quickly. In the last part of the scenario (called IV), the sensors stop being triggered and the security level decreases quickly. On the contrary, let us consider a laser attack scenario. In the first part of this scenario, there are no errors and the level of security remains low, as represented in Figure 16 (bottom). In the second part, the light sensors are triggered because the attacker injects faults in the middle of a long sequence of correct commands. Even with this precaution, the level of security increases quickly (we consider that the triggers of the light sensor are important). We suppose that the attacker is able to detect this increase (the activation of the RPG when the system switches to the “unsafe” configuration is easy to detect) and that, in the third part of the scenario, he stops to inject faults. The level of security tends to decrease. But when the laser attack is re-started in the fourth part of the scenario, the monitor increases the security level very quickly until sensitive data is deleted.

5.3 Drawbacks of the proposed approach

As explained in Section 4.2, the proposed architecture leads to a penalty of 5-20% (depending of the size of the host’s memories) in terms of area (and to some extent, of power consumption), but we underline that these figures have been

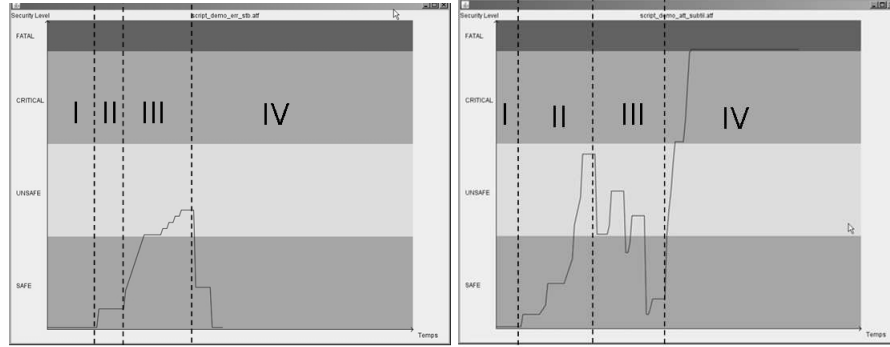


Fig. 16. Response of the system for an anomaly case (left) and for an attack case (right)

obtained with no design optimization. The penalty in terms of performances of the adjunction of the monitor greatly depends on several parameters such as, for example, the rate of communication of data between the host and the monitor, the rate of change of configurations of the countermeasures or the time necessary for the host to reconfigure its countermeasures. But it is important to note that these penalties have to be compared with the penalties due to the countermeasures embedded in the host.

Another difficulty concerns the design of the best strategy of security for a given application. The theoretical considerations of Section 2.3 help the user, first, to evaluate *a priori*, that is according to a given set of hypotheses, the performances and the security levels of the host for several sets of parameters and, second, to choose an *a priori* strategy of security for the given application. As the set of hypotheses may be false (and it is unfortunately often the case in the security domain), the performances have to be measured in practice and security evaluations have to be performed with state-of-the-art attack equipment. As most secure designs, these results have to be used to improve the *a priori* strategy of security. At the end of this refinement process, the strategy should not only reduce the rate of false positives and false negatives but also trade-off the performances and the security according to the application's constraints.

Finally, the testing and debugging of the whole prototype is also more complex than for a single component. Each hardware and software component has been debugged one by one. After these unitary tests, the monitor and the host have been tested independently. Finally, the host and the monitor have been tested together.

6 Conclusion

This article has completed the first step towards “system level” management of the security dedicated to the improvement of the availability and the perfor-

mance of a circuit without reducing its security. The three main contributions of this article are the following. First, the impact in terms of security and performances of different combinations of well-known countermeasures has been quantified. This study has shown, without surprise, that security and performances are antagonistic. But this study has also shown that, by modifying only a few parameters of these countermeasures, states with very distinct performance and security levels can be reached. Second, we have proposed a strategy of security designed to minimize both the rate of anomalies considered to be attacks (to increase the availability) and the rate of attacks considered to be normal functioning (to increase the security). The strategy is based on mechanisms which dynamically modify the parameters of the countermeasures. Third, we have proposed an HW/SW architecture which implements these mechanisms. The proposed solution is based on a double-processor architecture: one processor embeds a representative set of countermeasures (and mechanisms to define their parameters) and executes the application code. The second processor, on the same chip, applies the strategy, but without requesting sensitive data from the first processor.

Future work will consist in determining the theoretical performances and security levels for combinations of other countermeasures. It will also consist in refining the strategy by performing real attacks on the prototype and in measuring its real performance. We also plan to develop mechanisms to enable over the air upgrades of fuzzy rules according to the evolution of the threat. It could also be interesting to investigate, in parallel, more expressive formalisms such as, for example, those used for the detection of intrusion in complex computer systems. At last, as the threat related to the insertion of Trojan Horses into integrated circuits has clearly to be taken into account [41], it could be interesting to adapt the fuzzy rules and the sensors for the detection of such Trojan (as an generalization of [1]).

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