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# Strength/ductility trade-off of Laser Powder Bed Fusion Ti-6Al-4V: synergetic effect of alpha-case formation and microstructure evolution upon heat treatments

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## Keywords:

Ti-6Al-4V ; Laser Powder Bed Fusion ; Heat Treatments ; Alpha-case ; Ductility

## Abstract

Post-processing heat treatments (HT) are performed on Ti-6Al-4V components fabricated by Laser Powder Bed Fusion (L-PBF) to release the residual stresses and transform the non-equilibrium martensite into a dual-phase  $\alpha + \beta$  microstructure. In the present study, post-processing sub-transus HT are conducted at various annealing temperatures for 2 h, with slow cooling either in a larger volumetric horizontal industrial furnace or a smaller volumetric tubular laboratory furnace. These two different furnaces were chosen to significantly modify the atmosphere and generate an oxygen-affected layer on the surface. The results show that the higher the annealing temperature, the coarser the  $\alpha$  laths and the higher the  $\beta$  phase fraction. An oxygen-enriched hard and brittle alpha-case layer was revealed only for HT performed in the industrial furnace. The provided data allowed modeling of the oxygen diffusion in Ti-6Al-4V made by L-PBF. It has been found that without the alpha-case, post-processing HT helps to balance the strength/ductility compromise of the alloy in relation to the microstructural evolution. On the other hand, when an alpha-case layer greater than 50  $\mu\text{m}$  is present, the elongation to failure is impacted and decreases as the alpha-case depth increases. Meanwhile, the impact toughness is less affected and remains mainly microstructure-dependent. The loss in ductility was attributed to the presence of cracks that develop at the surface of the samples during tensile solicitation. The opening rate of the crack lips is proportional to the applied strain, and the cracks spread through the brittle alpha-case layer. It has been shown for the first time that the cracks are visible on the sample's surface for stress values lower than half of the material's yield stress. The post-processing HT at 800 °C for 2 h provided a strong and ductile Ti-6Al-4V, which showed a minor effect of the alpha-case on the tensile properties.

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# 1 Introduction

Titanium alloys are widely used in aerospace industry due to their high strength-to-weight ratio and corrosion resistance. Among Ti alloys, ASTM grade 5 Ti-6Al-4V (Ti64) is the most used titanium alloys for aerospace applications [1–3]. Ti64 is a dual-phase  $\alpha + \beta$  alloy with excellent mechanical properties and was developed in the 1950s for aircraft structures. It currently holds almost half of the titanium products market share. The manufacturing process of Ti64 components typically involves casting, forging and rolling steps, followed by subsequent machining to obtain the final shape [4, 5]. In recent years, the needs of the aeronautic industry to reduce parts weight, material waste and assembled components have allowed metal additive manufacturing (AM) techniques to be considered a serious alternative to the conventional processes mentioned above [6, 7]. In particular, AM of the Ti64 alloy by Laser Powder Bed Fusion (L-PBF) has gained significant attention for producing complex geometries and high performance components. L-PBF allows for the production of near-net-shape parts and the technique has become mature enough to consider manufacturing structural components for specific applications that require a strong material integrity [7–13]. The L-PBF process is described for example in [6] or [7].

Due to the high thermal gradients generated during the manufacturing of Ti64 through L-PBF, as-built parts are exposed to high tensile residual stresses near the surface [14–17]. These residual stresses can adversely affect the mechanical behavior of the material [18, 19]. Furthermore, due to the high cooling rates involved during the process, as-built L-PBF Ti64 presents an  $\alpha'$  martensitic microstructure consisting of fine, hierarchical, and entangled needles [20, 21]. Upon cooling, the  $\alpha'$  phase germination is preceded by the formation of columnar  $\beta$  grains with a morphological and crystallographic texture oriented parallel to the building direction [22–25]. The martensitic structure that grows in the parent  $\beta$  grains is typically associated with high mechanical strength but poor ductility [21, 26–30] and limited fatigue properties [31, 32]. To meet the product requirements in terms of static [33] and dynamic mechanical behavior, post-processing operations can be performed on Ti64 components. In particular, post-processing heat treatments (HT) are performed on as-built parts in the sub-transus range to relieve the residual stresses and decompose the martensitic phase into an equilibrium  $\alpha + \beta$  mixture, which helps balance the strength / ductility compromise [28–31]. As it is well known that the presence of residual stresses may lead to distortions of the as-built parts after cutting [13, 14, 34], the stress-relieving HT carried out for industrial L-PBF production routines is directly performed on components still attached to their base-plate to ensure the dimensional precision of the parts after removal from the building platform. For components and base-plates of large dimensions, this justifies the need for an atmosphere-controlled industrial furnace equipped with an appropriate volume capable of performing suitable post-processing HT on several L-PBF productions.

When exposed to elevated temperatures in an oxygen-containing environment, titanium and its alloys develop an oxide layer on the surface and an oxygen diffusion zone (ODZ) beneath it [35–37]. Due to the significant oxygen solubility in the  $\alpha$  phase of titanium (up to 33 at%) [38],

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40 the presence of higher oxygen contents in  $\alpha+\beta$  alloys tends to stabilize the  $\alpha$  phase at the expense  
41 of the  $\beta$  phase, resulting in the ODZ commonly referred to as "alpha-case" ( $\alpha$ -case). Oxygen  
42 occupies the interstitial sites of the hexagonal close-packed (HCP) lattice of  $\alpha$  titanium and limits  
43 the mobility of dislocations [39]. As a result, the alpha-case acts as a hard and brittle layer [40,  
44 41] that degrades the mechanical properties by initiating cracks at the surface under loading  
45 [38, 42, 43]. The alpha-case created during high-temperature steps of conventional processes  
46 such as casting or forging is typically removed from ingots or semi-products that are machined  
47 into performance parts subjected to high static or dynamic loading. However, because the L-  
48 PBF products are fabricated near-net-shape, removal of an alpha-case layer generated during  
49 post-processing HT involves an additional operation that can be expensive or even impossible  
50 for complex geometry parts.

51 Designing suitable post-processing operations to enhance the mechanical properties of Ti64  
52 alloy fabricated by L-PBF is currently of primary interest for industrial applications. The demon-  
53 strated economic viability of this manufacturing process primarily relies on a limited amount  
54 and associated cost of required post-treatments [6, 7]. As mentioned above, numerous literature  
55 papers focus on the effects of post-processing HT on microstructural and mechanical evolution of  
56 Ti64 fabricated by L-PBF. Most of the studies utilized small volume laboratory furnaces, and the  
57 vast majority of them tested tensile samples that were machined from rectangular or cylindrical  
58 specimens. This means that the observed evolution of mechanical properties is induced by the  
59 microstructural evolution only, without taking into account the substantial effects of the surface  
60 and sub-surface states, including the possible presence of alpha-case. To date, very few studies  
61 have addressed the presence of an alpha-case layer after post-processing HT of Ti64 fabricated  
62 by L-PBF [44, 45]. In addition, to our knowledge, the effects of alpha-case layers of different  
63 depths on the tensile properties of Ti64 fabricated by L-PBF have not been investigated yet.  
64 Consequently, the present study aims to quantify the effects of both microstructural evolution  
65 and alpha-case formation during post-L-PBF HT on the static properties of Ti64. To achieve  
66 this, batches of samples were heat-treated at various annealing temperatures in an industrial  
67 furnace or in a laboratory furnace, which involved different atmospheres and, thus, significant  
68 changes in the alpha-case formation. The evolution in terms of microstructure, alpha-case, and  
69 static properties is characterized for all batches and compared.

## 70 2 Materials and experimental methods

### 71 2.1 Material and process

72 A commercial batch of Ti-6Al-4V (Ti64) pre-alloyed spherical powder, manufactured by Tekna  
73 Advanced Materials Inc., Canada, was used. The particles were plasma atomized, and their size  
74 distribution ranges from 5 to 25  $\mu\text{m}$ . The chemical composition of the powder batch is detailed  
75 in Table 1. All L-PBF samples were built to near-net-shape using a FormUp 350 provided by



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76 AddUp, France. The fabrication took place under an argon (Ar) protective atmosphere without  
 77 pre-heating of the base plates. The machine is equipped with dual YAG fiber lasers, with a  
 78 spot size of approximately 70  $\mu\text{m}$ . Each of them was assigned to a specific area of the Ti64 base  
 79 plate corresponding to the front or back side of the building chamber. Both lasers applied a  
 80 meander scanning pattern with the scanning direction rotated by  $90^\circ$  between each layer. The  
 81 process parameters were optimized to obtain near-fully dense parts. Prior to testing, X-ray  
 82 computed tomography was performed on three vertical tensile specimens with a scan height of  
 83 23 mm for the whole specimen width and thickness. A voxel size of 6.5  $\mu\text{m}$  was used and the  
 84 equivalent diameter of the smallest pores after image analysis was 24  $\mu\text{m}$ , which corresponds to  
 85 an equivalent volume of 26 voxels. With such parameters, the porosity for each sample is lower  
 86 than 0.005 % (hence density is higher than 99.995 %, and 99 % of the pores has an equivalent  
 87 diameter lower than 60  $\mu\text{m}$ . From these results, it is expected that the internal defects play a  
 88 minor role in the static properties compared to the microstructural evolution [46].  
 89 The orientations of the parts are defined in this work in accordance with the ISO/ASTM 52900  
 90 standard using the axis of the L-PBF machine, XY being the plane parallel to one deposited  
 91 layer and Z being the building direction. For each of the six metallurgical conditions studied in  
 92 this work, a fabrication step was performed to produce a batch including simple geometry sam-  
 93 ples (cubes, blocks), tensile bars, and Charpy impact specimens. In addition, a final fabrication  
 94 containing vertical tensile and impact bars, similar to the ones from the six previous batches,  
 95 was done.

96

Ti	Al	V	Fe	O	C	N	H
Base	6.22	3.87	0.18	0.14	0.009	0.02	0.004

Table 1: Chemical composition (wt%) of the batch of grade 5 Ti64 powder.

## 97 2.2 Post-processing heat treatments (HT)

98 The first batch of samples will be referred to as the as-built condition thereafter. Parts from  
 99 the five next batches will be referred to as the Industrial Furnace Heat Treatment (IF-HT) sam-  
 100 ples. The tensile and impact bars from the supplementary fabrication will be referred to as the  
 101 Laboratory Furnace Heat Treatment (LF-HT) samples. For every HT performed in this study,  
 102 an annealing time of 2 h was set to relieve all the internal stresses generated during L-PBF  
 103 and to ensure a microstructural homogeneity in the entire volume of the thicker components.  
 104 Following the annealing, a slow cooling rate was employed to allow diffusion of the alloying  
 105 elements and to obtain a resulting microstructure close to the equilibrium conditions.  
 106 Post-processing IF-HT were performed directly after the fabrication step, with the samples still  
 107 attached to their base plate. The IF-HT were carried out by the Nadcap certified company  
 108 THERMI-GARONNE (France) in a BMI B84T horizontal gas quenching vacuum furnace. To  
 109 investigate the effects of annealing temperature on the microstructure and mechanical proper-  
 110 ties evolution, five temperature values ranging from 720  $^\circ\text{C}$  to 980  $^\circ\text{C}$  were selected within the

111 sub-transus domain of the alloy. The Samples' temperature was measured by placing a thermo-  
 112 couple in contact with a Ti64 plate. The corresponding temperature curves for the five IF-HT  
 113 processes are displayed in Figure 1.a, along with the pressure curves. The IF-HT process involves  
 114 a preliminary heating above 100 °C for one hour under vacuum to eliminate potential moisture  
 115 that could penetrate the sample roughness during transportation. Subsequently, the heating  
 116 chamber is pumped up to a pressure of  $1 \times 10^{-4}$  mbar, and the heating step to the annealing  
 117 temperature is performed at an average of  $10 \text{ }^\circ\text{C min}^{-1}$ . After completing the annealing time  
 118 of 2 h, the samples undergo a slow cooling process within the furnace, with the average cooling  
 119 rate ranging from  $1.8 \text{ }^\circ\text{C min}^{-1}$  for 720 °C IF-HT to  $2.8 \text{ }^\circ\text{C min}^{-1}$  for 980 °C IF-HT. Finally,  
 120 when the temperature reaches 400 °C, fast cooling is achieved by injecting Ar into the chamber.  
 121 Post-processing LF-HT were performed on untreated tensile bars and impact specimens that had  
 122 already been separated from the base plate. LF-HT were carried out in a Nabertherm RHTC  
 123 80-450/15 tubular vacuum furnace. The LF-HT cycles were designed with the same tempera-  
 124 ture/time combinations. Temperature was measured with a type-S thermocouple placed nearby  
 125 the samples, and the corresponding temperature curves are available in Figure 1.b. No prelim-  
 126 inary heating above 100 °C was made, but the samples were cleaned with an ultrasonic device  
 127 before LF-HT. After pumping the heating chamber up to a pressure of  $1 \times 10^{-2}$  mbar, a heating  
 128 step is performed at an average of  $10 \text{ }^\circ\text{C min}^{-1}$ , followed by 2 h at the annealing temperature,  
 129 and then slow cooling in the furnace. The average cooling rate ranges from  $4.1 \text{ }^\circ\text{C min}^{-1}$  for  
 130 720 °C LF-HT to  $4.7 \text{ }^\circ\text{C min}^{-1}$  for 980 °C LF-HT. At 400 °C, the sample is removed from the  
 131 furnace and water-quenched.

132

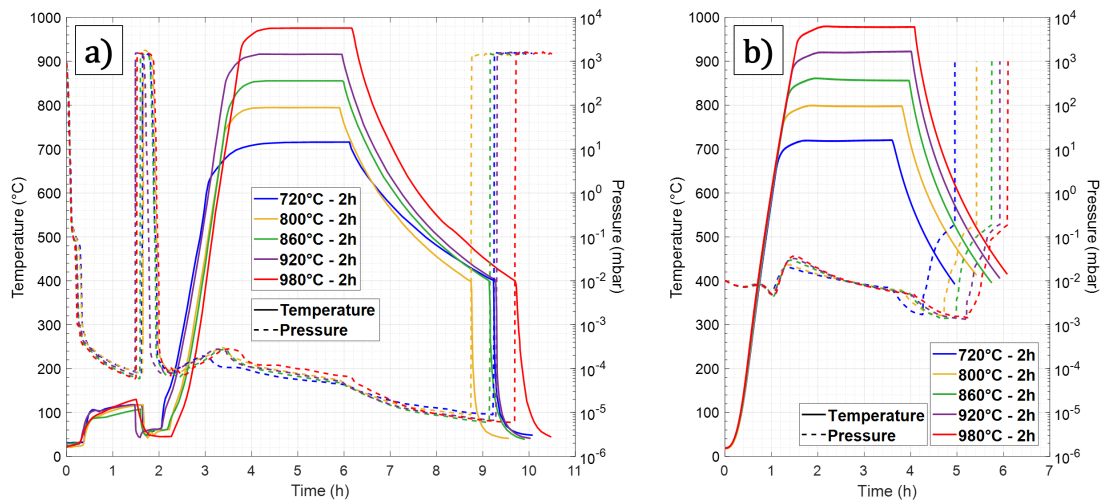


Figure 1: Temperature-pressure curves of (a) Industrial Furnace Heat Treatments (IF-HT) and  
 (b) Laboratory Furnace Heat Treatments (LF-HT).

133 Following the fabrication for the as-built condition or after IF-HT, samples were separated  
 134 from the base plates by wire electro-discharge machining (EDM). This step resulted in minor  
 135 distortions for as-built parts with smallest thicknesses, but it should be noted that no distortions  
 136 were measured on the HT parts. In fact, it was shown in a previous study that an HT performed  
 137 for 2 h at 720 °C allows relieving all the internal stresses generated during the fabrication [13].

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## 138 2.3 Microstructural characterization

139 The microstructural characterization was conducted by Optical Microscopy (OM), X-ray  
140 Diffraction (XRD), and Scanning Electron Microscopy (SEM). Thin slices of material, cut on  
141 horizontal XY or vertical YZ cross-sections of 15 mm<sup>3</sup> cubes at about 1 mm from the external  
142 surface, were used. All the samples for microstructure examination were ground with 320 grit  
143 size SiC paper followed by 9 μm diamond solution. They were then chemically and mechanically  
144 polished with a mixture of 50 nm colloidal silica suspension, hydrogen peroxide, and ammonia.  
145 Samples used for imaging the microstructure using Back-Scattered Electron (BSE) SEM mode  
146 were vibratory polished with colloidal silica. Samples for XRD and Electron Back-Scattered  
147 Diffraction (EBSD) were electropolished for 15 s with a solution consisting of perchloric acid,  
148 ethanol and 2-butoxyethanol. OM pictures of IF-HT and LF-HT samples were taken with an  
149 Olympus GX51 light microscope on the YZ plane of the grip section of tensile bars. The mi-  
150 crostructure was revealed by Kroll reagent etching.

151 Phase analysis by XRD was performed using a Malvern Panalytical X'Pert Pro MRD equipped  
152 with a Cu-K<sub>α</sub> ( $\lambda = 1.54 \text{ \AA}$ ) line focus X-ray source. The diffractometer operated at 45 kV with  
153 a tube current of 40 mA, and each scan covered  $2\theta$  from 30° to 130° with a step size of 0.04°. It  
154 should be noted that due to the presence of crystallographic texture in the samples, XRD scans  
155 were conducted for qualitative comparison rather than quantitative evaluation.

156 Surface phase fractions were determined from BSE images acquired using a ZEISS Supra 55  
157 VP FEG-SEM. Image analysis was performed with ImageJ software to distinguish both phases  
158 based on the contrast obtained. The calculated surface fraction represents the average of 20  
159 images taken in the YZ plane for each condition. Additionally, the SEM was used to capture  
160 secondary electrons (SE) images of the fracture surfaces of the tensile bars. EBSD was per-  
161 formed on the same SEM operating at 20 kV with an Oxford Instruments Symmetry camera.  
162 EBSD maps with different sizes were recorded on the YZ plane for each condition, with a pixel  
163 size ranging from 50 nm (as-built) to 150 nm (980 °C - 2 h). EBSD data were treated with  
164 the MTEX toolbox [47] on MATLAB software, and  $\alpha$  laths boundaries were detected with a 5°  
165 misorientation threshold between grains. Grains smaller than 10 pixels were removed from the  
166 grain maps, and the thicknesses of the resulting  $\alpha$  laths population were determined using the  
167 semi-minor axis after ellipse-fitting. Increasing map sizes (and corresponding pixel sizes) with  
168 increasing HT temperatures allowed for obtaining the same order of magnitude of grain popula-  
169 tions for each condition, enabling a representative comparison of the evolution of the alpha lath  
170 sizes.

171 The alpha-case layer was revealed on samples polished in the XY plane of cubes using Weck  
172 reagent etching (ammonium bifluoride dissolved into ethanol and water) for approximately 30 s.  
173 Micrographs of the etched samples were captured with an Olympus GX51 light microscope. The  
174 alpha-case thickness was quantitatively measured using ImageJ software by analyzing 20 images  
175 taken along the entire perimeter of a cube.

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## 176 2.4 Mechanical characterization

177 Tensile tests were performed for each condition on dog-bone-shaped specimens that were fab-  
178 ricated with dimensions of 35 mm (gauge length), 7 mm (width), and 3 mm (thickness). These  
179 bars were built vertically. Therefore, the tensile load was applied parallel to the building di-  
180 rection Z. Three tensile samples were tested for the as-built, IF-HT and LF-HT conditions.  
181 The tensile tests were conducted at room temperature using an Instron Model 1186 tensile sys-  
182 tem with a cross-head displacement rate fixed at  $0.525 \text{ mm min}^{-1}$ , resulting in a strain rate of  
183  $1.5 \times 10^{-2} \text{ min}^{-1}$ , in accordance with the NF EN ISO 6892-1 standard. A strain gauge exten-  
184 someter was employed for accurate strain measurement. The mechanical properties including  
185 yield stress at 0.2 % plastic deformation ( $\sigma_y$ ), Ultimate Tensile Strength ( $\sigma_u$ ), and elongation  
186 to failure ( $\varepsilon_f$ ) were obtained as the average of three tests for each condition.

187 To assess the impact toughness of the material, Charpy impact tests were conducted on stan-  
188 dard V-notch specimens fabricated vertically (impact direction orthogonal to Z) using an Amsler  
189 Prüfsysteme impact testing machine. The absorbed energy (KV) represents the average value  
190 of 5 specimens tested for the as-built, LF-HT and IF-HT conditions.

191 Vickers microhardness was measured on thin XY polished samples in the as-built, IF-HT and  
192 LF-HT conditions using a Buehler microhardness tester with a 1 kg load and an indentation  
193 time of 15 s. Indents were made at random locations on the sample's surface, and the reported  
194 value is the average of 20 measurements. Additionally, microhardness profiles were obtained  
195 from the sample's surface using an applied load of 100 g. The profiles were taken with a stag-  
196 gered arrangement to achieve a spatial resolution of  $12.5 \text{ }\mu\text{m}$  between each measurement.

197 To investigate the formation and growth kinetics of strain-induced cracks in the alpha-case layer,  
198 *in situ* tensile tests were conducted inside the chamber of the ZEISS Supra 55 VP SEM using  
199 the secondary electron imaging mode. As shown in Figure 2, vertical flat tensile samples were  
200 cut using wire EDM from a block that had undergone IF-HT at  $860 \text{ }^\circ\text{C}$  for 2 h. The tensile bars  
201 were cut at the edge of the block to preserve the alpha-case layer formed in the sub-surface.  
202 The samples were 35 mm long and the gauge dimensions were  $8 \times 1 \times 1 \text{ mm}^3$ . Tensile tests were  
203 performed at a displacement rate of  $0.1 \text{ mm min}^{-1}$  using a Deben Microtest 2000E Series tensile  
204 module placed inside the SEM chamber. The first test involved gradually increasing the applied  
205 load, with pauses every 100 N (an average of 115 MPa) to closely observe the gauge surface and  
206 detect any visible cracks. In the second test, an already formed crack was monitored to examine  
207 its growth kinetics during the tensile test.

208

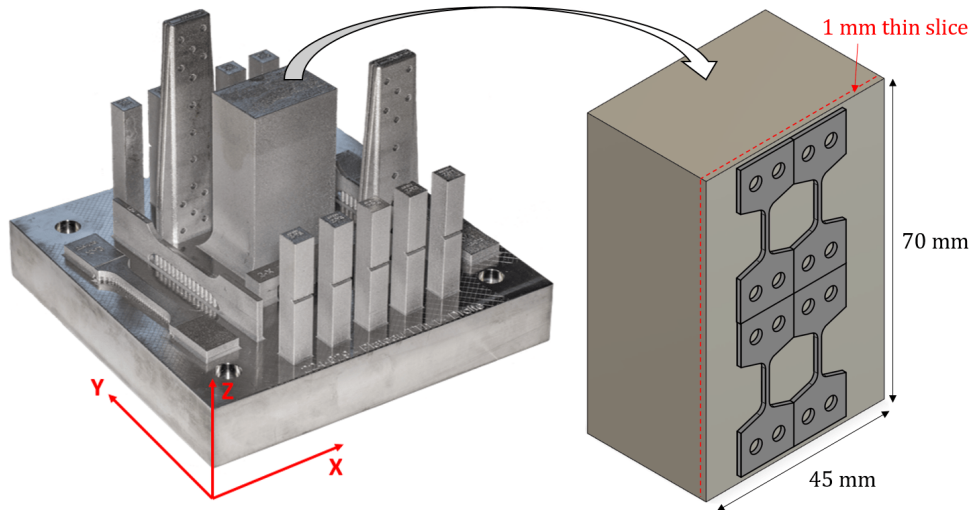


Figure 2: Representative sketch illustrating the location where the samples used for *in situ* tensile tests were cut by wire EDM in the block after IF-HT at 860 °C for 2 h.

## 209 3 Results

### 210 3.1 Microstructural characterizations

211 Figure 3 shows cross-sections taken in the YZ plane for the as-built condition and after IF-  
 212 HT at different annealing temperatures. The micrograph in the as-built condition reveals that  
 213 the microstructure consists of long columnar prior  $\beta$  grains whose morphological orientation is  
 214 parallel to the Z-axis. The formation of the  $\beta$  phase at high temperature is driven by the thermal  
 215 gradients along the building direction, and it grows in epitaxy across the deposited layers [48].  
 216 Inside the prior  $\beta$  grains, very fine  $\alpha'$  martensite needles are formed during cooling from the  $\beta$   
 217 domain due to the process's high cooling rates [20, 49]. With an increase in HT temperature,  
 218 gradual changes occur: for HT at 720 °C and 800 °C, only slight microstructural modifications  
 219 are visible at this scale, whereas for HT higher than 860 °C, the presence of lamellar  $\alpha$  phase is  
 220 highlighted. HT at 920 °C and 980 °C lead to a coarse microstructure with the detected presence  
 221 of globular  $\alpha$  phase packets. It should also be noted that for all metallurgical states after HT,  
 222 the prior  $\beta$  grain structure is conserved, as all the annealing temperatures were chosen below  
 223 the transus temperature of the alloy. Microstructures obtained after LF-HT were also analyzed,  
 224 and the same observations are depicted.

225 Figure 4 presents the Vickers hardness under 1 kg load ( $HV_{1kg}$ ) measured in the bulk of the  
 226 material for the as-built condition and after post-processing HT. Both IF-HT and LF-HT show a  
 227 similar decreasing trend, with hardness values decreasing from  $422.2 \pm 3.1$  in the as-built state to  
 228  $339.4 \pm 4.6$  after IF-HT at 980 °C. Slightly higher hardness is measured for LF-HT with increasing  
 229 temperature. However, hardness values between identical IF-HT and LF-HT conditions are close,  
 230 suggesting similar microstructures in terms of phase fractions and grain growth.



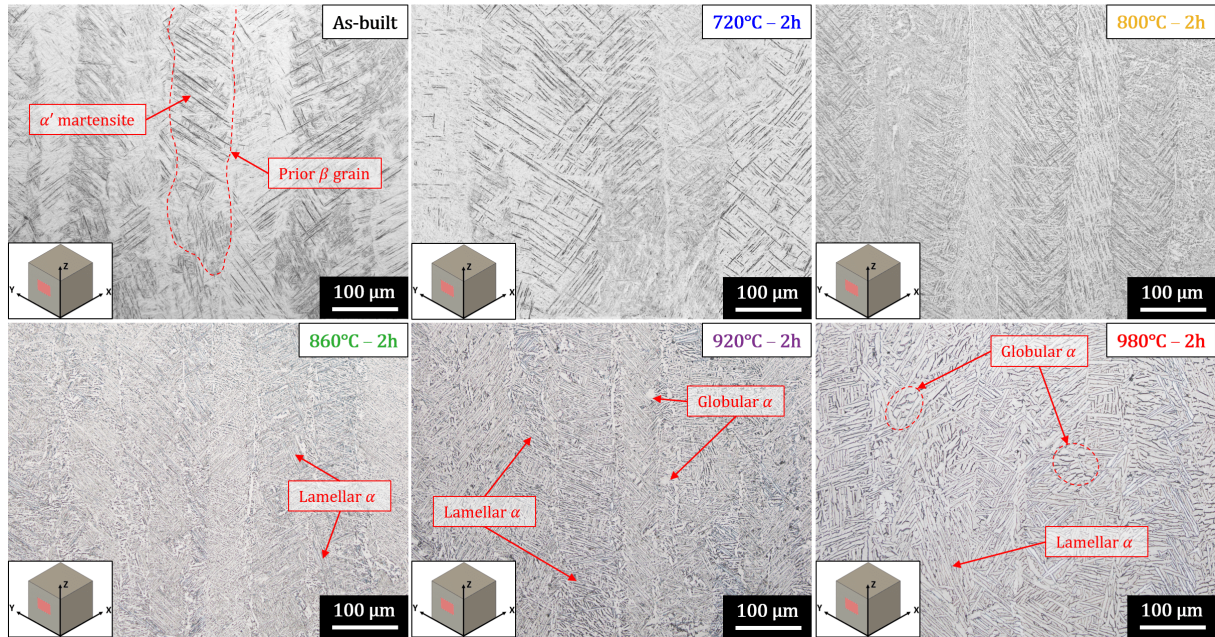


Figure 3: Optical microscope micrographs taken in the YZ plane of L-PBF fabricated Ti64 in its as-built condition and after various sub-transus IF-HT.

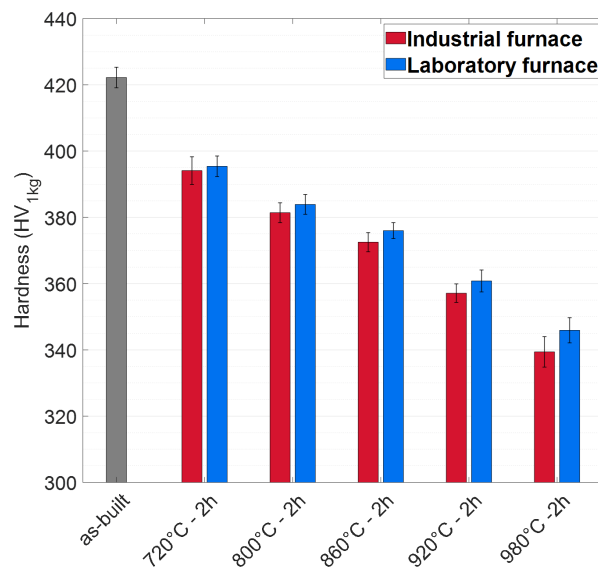


Figure 4: Evolution of hardness ( $HV_{1kg}$ ) of L-PBF fabricated Ti64 in the as-built condition and after various sub-transus IF-HT and LF-HT.

231 In order to investigate and quantify the microstructural evolution from the as-built  $\alpha'$  state (i.e.  
 232 grain size and phase fraction), XRD spectra, BSE images, and EBSD orientation maps were  
 233 obtained as shown in Figures 5, 6, and 7, respectively. XRD spectra and SEM pictures (BSE,  
 234 EBSD) are presented for as-built and IF-HT conditions only, as they are assumed to be repre-  
 235 sentative of the LF-HT microstructures as well. However, quantitative measurements ( $\alpha$  laths  
 236 thickness,  $\beta$  phase fraction) for the as-built, IF-HT, and LF-HT microstructures are provided  
 237 in this study.

238 The XRD spectra displayed in Figure 5.a show that all the peaks in the as-built condition are  
 239 attributed to  $\alpha'$  martensite. After HT, peaks corresponding to the body-centered cubic  $\beta$  phase  
 240 are detected at all five temperatures. As shown in Figure 5.b, the  $\beta$  (110) peak around  $40^\circ$   
 241 exhibits an increase in intensity with increasing HT temperature, indicating a higher  $\beta$  phase  
 242 fraction. Additionally, the  $\beta$  (110) peak position is shifted to lower  $2\theta$  angles with increasing  
 243 temperatures. Despite these changes, the  $\alpha$  phase remains the dominant fraction for all condi-  
 244 tions, as the  $\alpha$  peaks are significantly more intense than the  $\beta$  ones. Moreover, the full width at  
 245 half maximum (FWHM) of the  $\alpha'$  peaks in the as-built condition is larger than that of the  $\alpha'/\alpha$   
 246 peaks after HT. The presence of residual stresses is associated with a high dislocation density,  
 247 and therefore, a high stored energy that affects the shape of the diffraction peak [50]. Internal  
 248 stress relaxation during HT leads to thinner peaks [51].  
 249

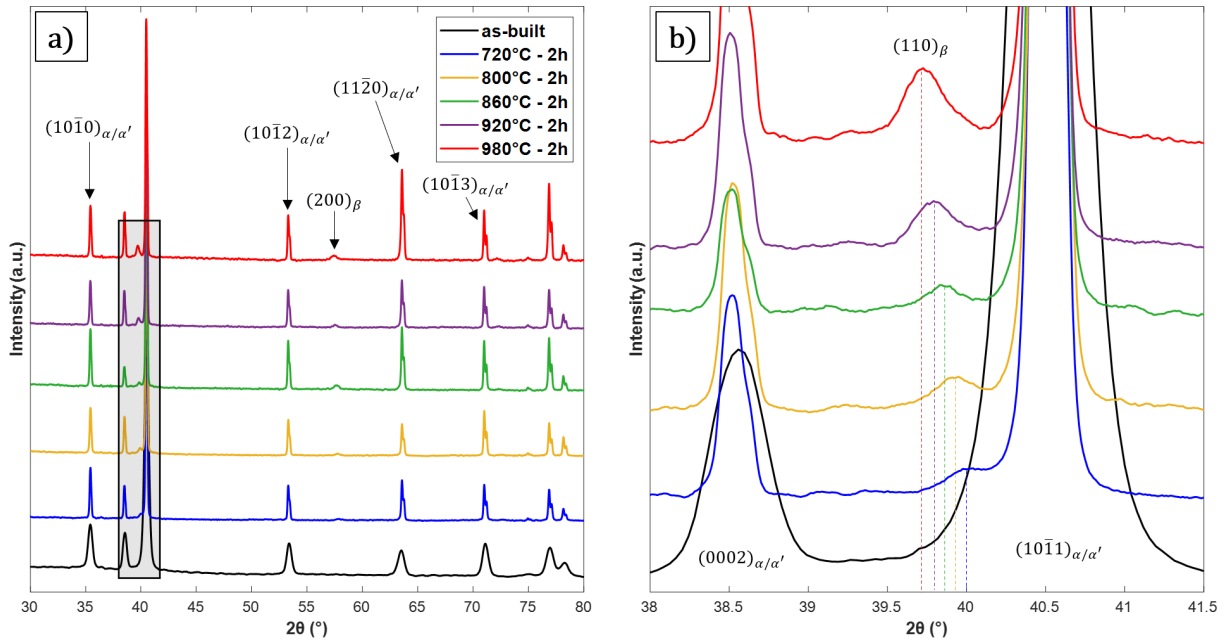


Figure 5: (a) X-ray diffraction (XRD) spectra of L-PBF fabricated Ti64 in the as-built condition and after various sub-transus IF-HT and (b) magnified view of the  $\beta$  (110) peak around  $40^\circ$ .

250 The  $\alpha/\beta$  phases fraction evolution was estimated from SEM-BSE micrographs, similar to the  
 251 ones presented in Figure 6.a. The entangled microstructure and the needle-like structures of the  
 252 hexagonal phase are retained for each condition. However, the martensite decomposition  
 253 results in the redistribution of alloying elements. Specifically, the diffusion of the  $\alpha$ -forming  
 254 element aluminium (Al) tends to enrich the  $\alpha$  phase, while the diffusion of the  $\beta$ -forming el-  
 255 ement vanadium (V) tends to enrich the  $\beta$  phase. The difference in atomic number between  
 256 alloying elements leads to varying contrast in BSE imaging between the  $\alpha$  and  $\beta$  phases [28,  
 257 52]. According to the EBSD phase map in Figure 6.b, the  $\beta$  phase nodules (in red) germinate  
 258 between the  $\alpha$  laths (in blue). Hence, the light areas on the BSE pictures are attributed to  
 259 the  $\beta$  phase, whereas the dark areas are attributed to the  $\alpha$  phase. The average apparent  $\beta$   
 260 phase fraction of every condition calculated from BSE pictures is presented in Figure 6.c. The

261 fraction ranges from  $2.2 \pm 0.3$  % for IF-HT at 720 °C to  $7.0 \pm 0.5$  % for IF-HT at 980 °C. The  
 262 evolution follows the same trend for LF-HT conditions, with only slightly more  $\beta$  phase observed  
 263 at higher annealing temperatures. It should be noted that this increase in the  $\beta$  phase fraction  
 264 from 720 °C to 980 °C coincides with the intensity evolution of the  $\beta$  (110) peak in Figure 5.b.  
 265

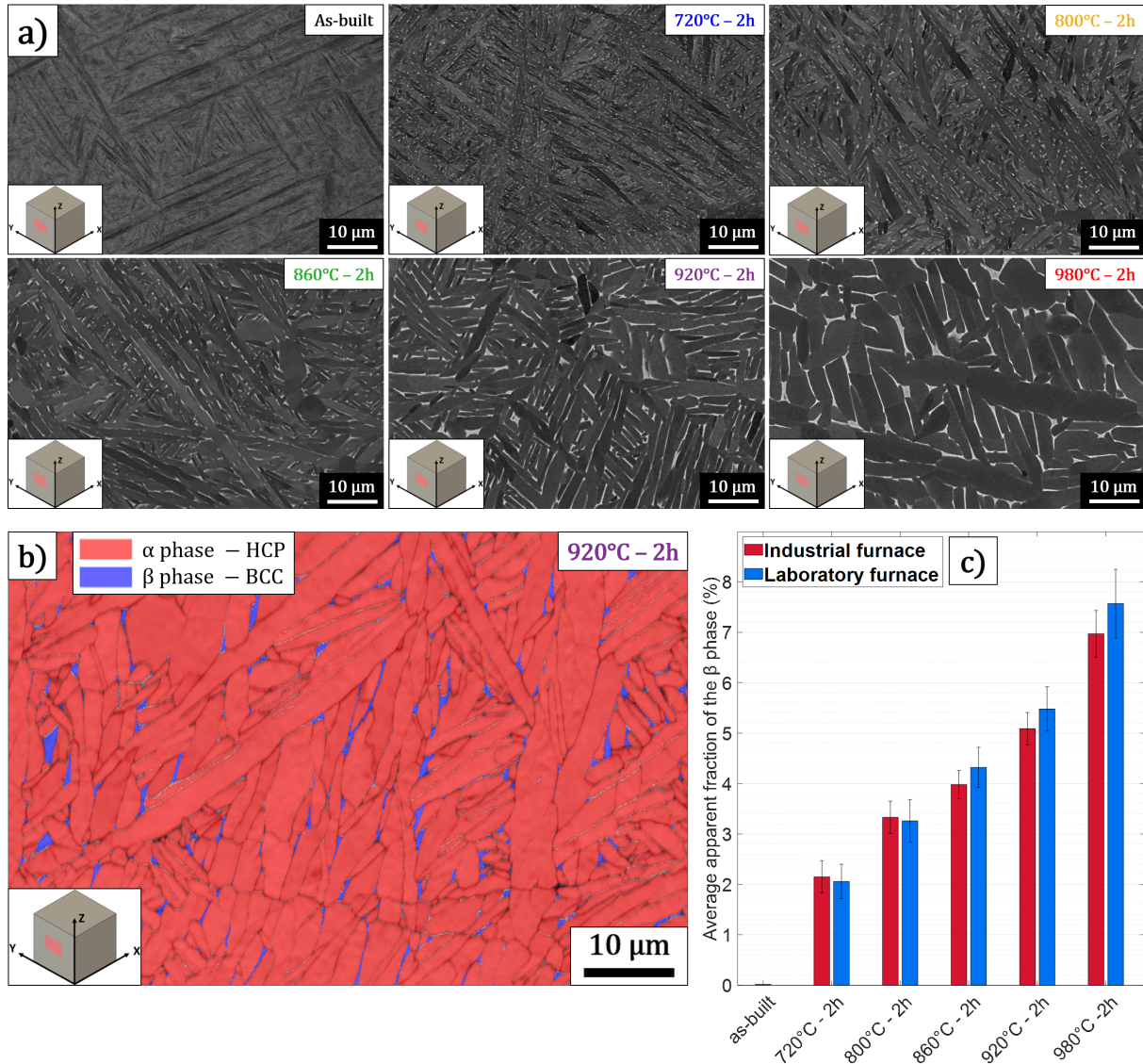


Figure 6: (a) SEM-BSE micrographs of L-PBF fabricated Ti64 in the as-built condition and after various sub-transus IF-HT. (b) EBSD phase map of the specimen subjected to IF-HT at 920 °C for 2 hours. (c) Average apparent fractions of the  $\beta$  phase measured by image analysis from SEM-BSE images.

266 In Figure 6.a, it becomes evident that the size of both the  $\alpha$  and  $\beta$  grains increases with higher  
 267 annealing temperatures. As the thickness of  $\alpha$  laths significantly influences the mechanical re-  
 268 sponse of Ti64 alloy [21, 28, 53, 54], special attention was given to quantifying the evolution  
 269 of the  $\alpha$  laths morphology. To achieve this,  $\alpha$  laths were identified from the EBSD orientation  
 270 maps, as illustrated in Figure 7. During the cooling of the fusion bath in the L-PBF process,



271 the  $\beta \rightarrow \alpha'$  transformation follows the Burgers Orientation Relationship (BOR) [55], resulting  
 272 in an acicular microstructure composed of several (up to 12)  $\alpha'$  variants within each parent  $\beta$   
 273 grain [24, 56]. The presence of numerous ultra-fine  $\alpha'$  needles growing in multiple directions  
 274 with various crystallographic orientations enables the numerical identification of  $\alpha$  laths from  
 275 EBSD maps using a misorientation criterion. In contrast, adjacent  $\alpha$  laths grouped in colonies  
 276 that share the same crystallographic orientation in lamellar Ti64 microstructures are more chal-  
 277 lenging to distinguish through EBSD orientation maps [53, 57].  
 278

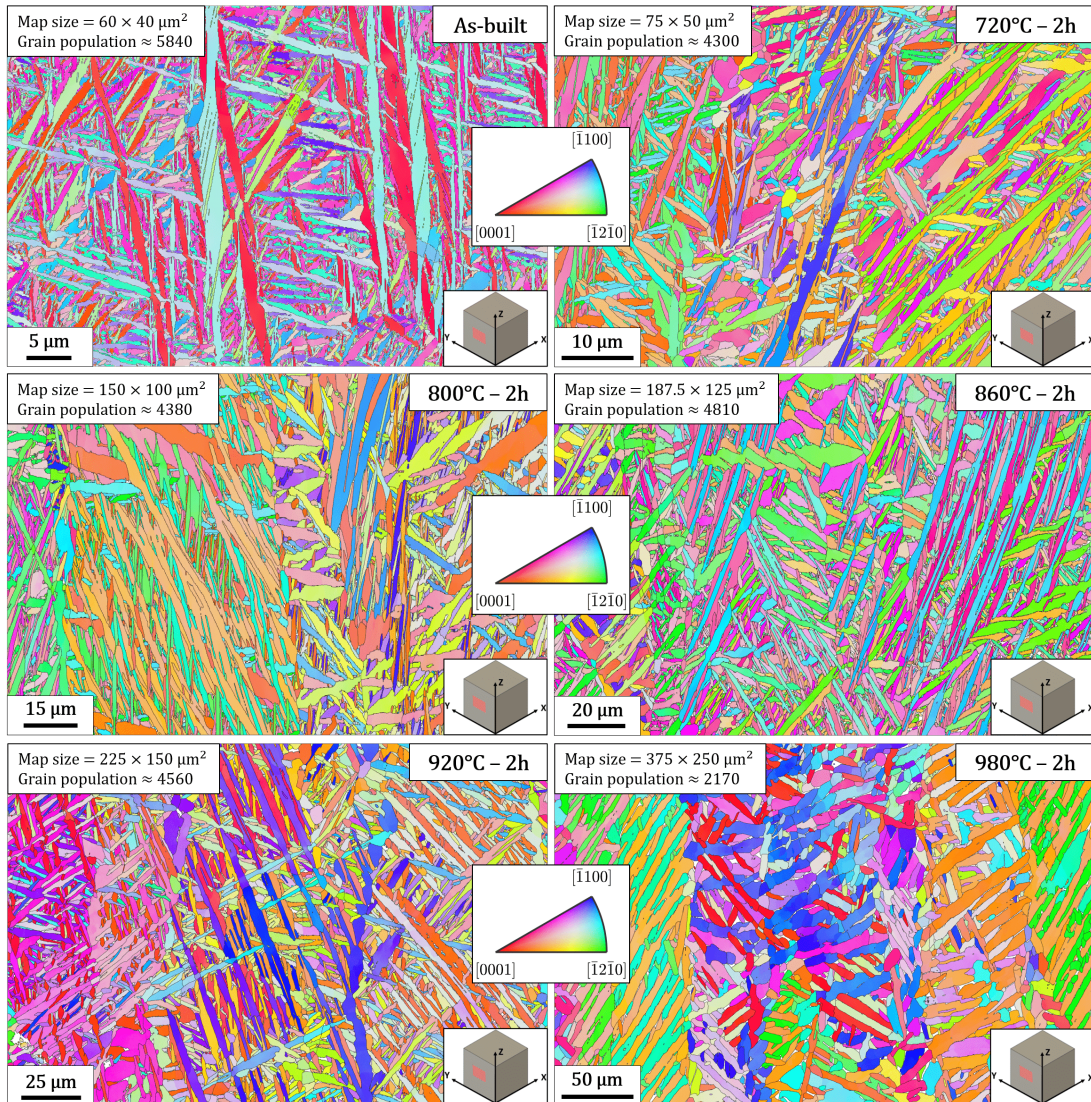


Figure 7: EBSD inverse pole Figure  $\alpha$  orientation maps of L-PBF fabricated Ti64 in the as-built condition and after various sub-transus IF-HT. The maps were taken in the YZ plane of a cube, with the build direction Z serving as the reference.

279 After sub-transus HT, the  $\alpha'$  transforms into  $\alpha + \beta$  phases, while retaining the same morpho-  
 280 logical and crystallographic organization of the martensitic structure. Using grain detection on  
 281 the six EBSD maps acquired in each condition, a grain population containing morphological  
 282 information (apparent length, apparent thickness) was extracted. The population size converges

283 to an average of 5840 identified  $\alpha'$  needles in the as-built condition, and an average of 2170 iden-  
 284 tified  $\alpha$  grains after IF-HT at 980 °C. Figures 8.a and 8.b display the apparent  $\alpha$  laths thickness  
 285 distributions obtained from the data acquired through grain detection on EBSD maps. The av-  
 286 erage  $\alpha$  laths thickness for each condition is shown in Figure 8.c. The evolution with annealing  
 287 temperature follows an exponential trend, with the  $\alpha$  laths becoming thicker as the temperature  
 288 approaches the beta transus of the alloy (around 1 000 °C). The values measured using this  
 289 EBSD method are similar for IF-HT and LF-HT conditions, with only slightly thicker  $\alpha$  laths  
 290 after IF-HT. The values of  $\alpha$  laths thickness and  $\beta$  phase fraction measured for the as-built  
 291 condition and after IF-HT and LF-HT conditions are summarized in Table 2, section 3.3.  
 292

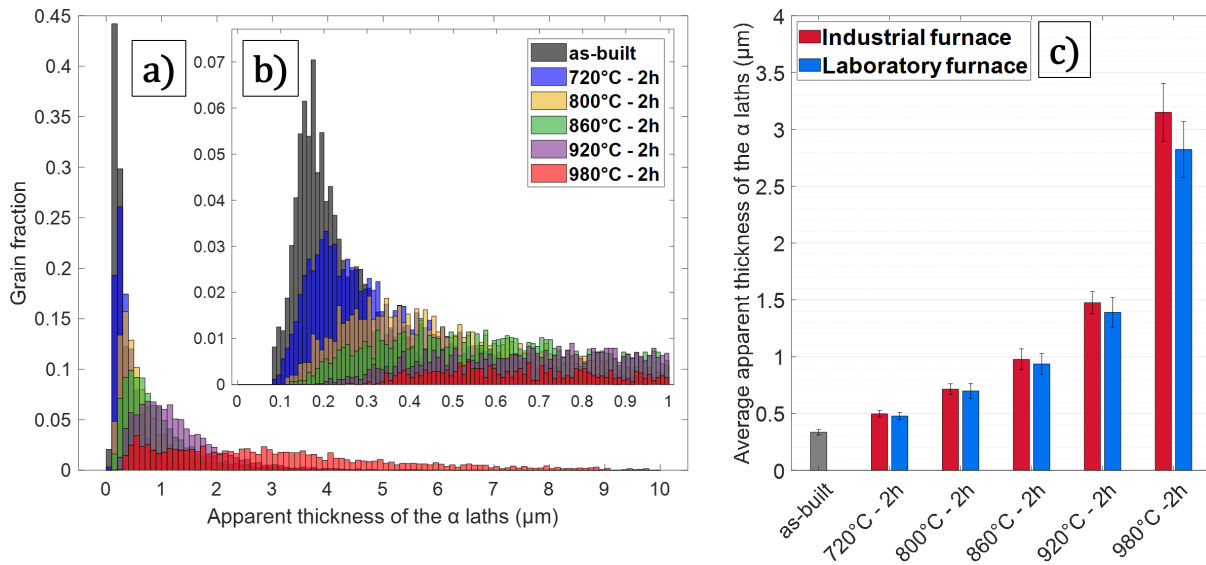


Figure 8: Apparent thickness distribution of the  $\alpha$  laths measured from Figure 7 an plotted with a bin width of (a) 0.1  $\mu\text{m}$ , (b) 0.01  $\mu\text{m}$  and (c) average apparent thickness measured for the as-built, IF-HT and LF-HT conditions.

### 293 3.2 Alpha-case characterisation

294 Figure 9.a displays representative micrographs of XY cross-sections taken at the edge of a cube  
 295 for each IF-HT condition. Figure 9.b exhibits representative micrographs of XY cross-sections  
 296 taken at the edge of a half tensile specimen before and after LF-HT. Chemical attack with Weck  
 297 reagent was performed on all the samples to reveal the alpha-case layer, which appears in white.  
 298 The contrast between the oxygen-enriched material (alpha-case) and the substrate allows for es-  
 299 timating the depth of the alpha-case layer from these pictures. In the as-built state, no presence  
 300 of alpha-case was found. After IF-HT at 720 °C, a thin  $11\pm 1$   $\mu\text{m}$  white layer is visible below  
 301 the surface of the sample. The alpha-case depth increases with the annealing temperature, and  
 302 the maximum measured thickness for IF-HT at 980 °C is  $185\pm 8$   $\mu\text{m}$ . The average alpha-case  
 303 thickness values measured for all IF-HT conditions are reported in Table 2. However, as shown  
 304 in Figure 9.b for the condition at 980 °C for 2 h, no presence of alpha-case was revealed on the  
 305 samples after LF-HT.



306 It is widely acknowledged that the presence of oxygen trapped into the alpha-case layer con-  
 307 tributes to an increase in the hardness of titanium alloys through solid solution strengthening  
 308 [40, 58]. Figure 10 shows microhardness profiles taken from the surface of Ti64 cubes for IF-  
 309 HT at 860 °C, 920 °C, and 980 °C. For IF-HT at 720 °C and 800 °C, the lateral resolution  
 310 of 12.5 μm obtained with indents by applying a staggered arrangement was not sufficient to  
 311 obtain an exploitable profiles. In can be observed that the hardness decreases from the surface,  
 312 indicating a reduction in oxygen content [40, 41, 58]. The hardness then stabilizes at a constant  
 313 value close to the bulk hardness reported in Table 2. Using the measured microhardness values  
 314 and fitting them with an 8<sup>th</sup>-degree polynomial equation, the profile evolution was modeled.  
 315 The fitted data lines in Figure 10 show this modeling. Based on these fits, the alpha-case thick-  
 316 nesses were estimated to be 62.5 μm, 112.5 μm, and 212.5 μm for IF-HT at 860 °C, 920 °C, and  
 317 980 °C, respectively. These thicknesses were determined at the points farthest from the surface  
 318 with a hardness value 5 % higher than the bulk hardness. These values are higher than the  
 319 estimated alpha-case thicknesses obtained from white-layer measurements on etched samples,  
 320 indicating that oxygen hardening is more sensitive to even small changes in oxygen content,  
 321 whereas only areas with the highest oxygen concentrations are revealed with chemical attack  
 322 using Weck reagent. This suggests that examination with optical microscopy alone could lead  
 323 to an underestimation of the alpha-case depth.

324

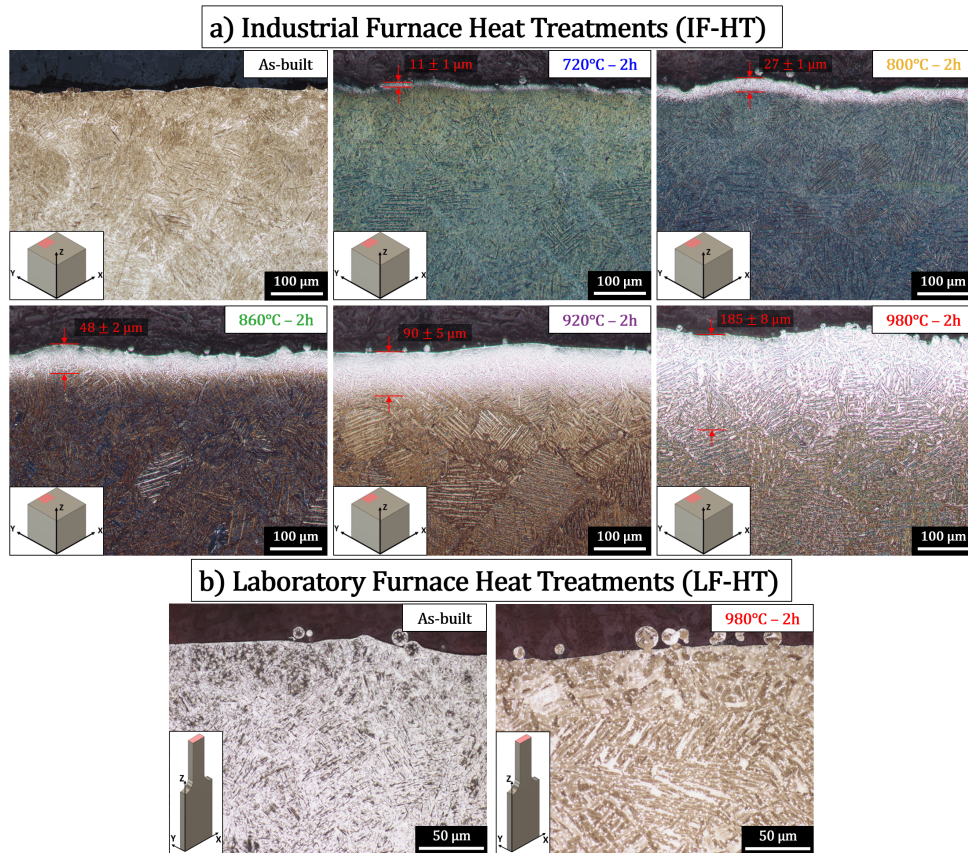


Figure 9: Optical microscope micrographs taken at the edge of cross-sections in the XY plane of (a) cubes before and after various IF-HT conditions, and (b) half tensile specimens before and after LF-HT. These micrographs were subjected to chemical attack with Weck reagent.

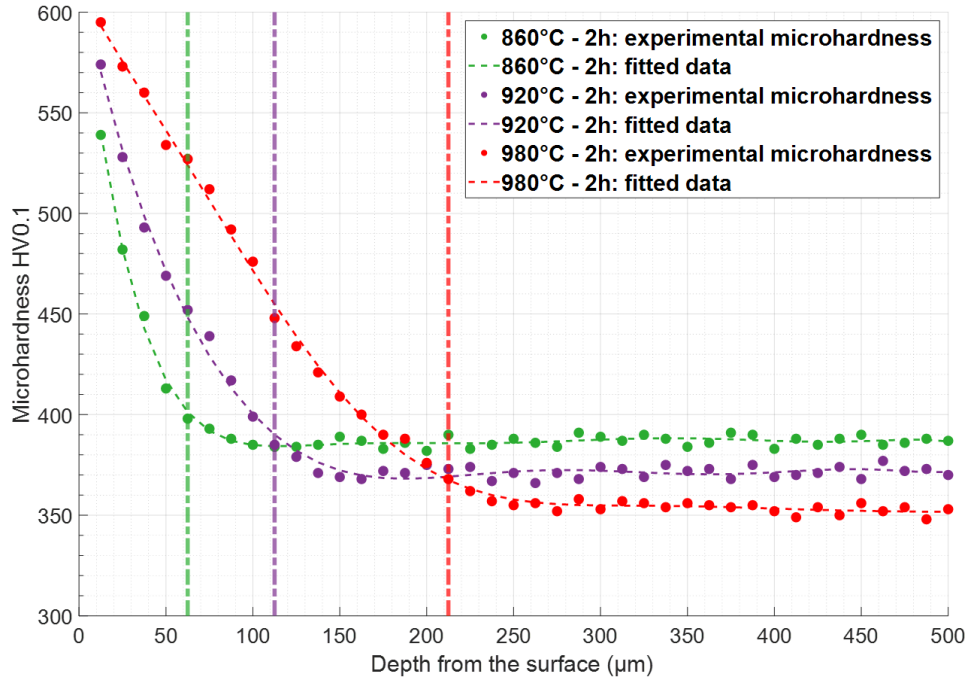


Figure 10: Microhardness (HV0.1) profiles obtained from the surface of Ti64 samples subjected to various sub-transus IF-HT conditions. The vertical dotted lines correspond to the estimated alpha-case depth based on the microhardness profiles.

### 3.3 Impact and tensile properties

Figure 11.a displays the tensile engineering stress-strain curves of as-built and heat-treated specimens (IF-HT and LF-HT), and the corresponding mechanical properties ( $\sigma_y$ ,  $\sigma_u$  and  $\varepsilon_f$ ) are listed in Table 2. In the as-built condition, the tensile properties are characterized by high strength but low ductility, which is typical of the martensitic microstructure of Ti64 fabricated by L-PBF [21, 27, 28, 52, 59, 60]. After HT, both  $\sigma_y$  and  $\sigma_u$  show a similar decreasing trend as the hardness (see Figure 4), gradually decreasing with increasing temperature.  $\sigma_u$  ranges from  $1\,279 \pm 15$  MPa in the as-built state to  $965 \pm 11$  MPa after LF-HT at 980 °C, representing a 25 % reduction in tensile strength. Regarding ductility,  $\varepsilon_f$  is doubled after IF-HT at 720 °C, with a further increase observed after IF-HT at 800 °C. However, for IF-HT at 860 °C and 920 °C,  $\varepsilon_f$  decreases compared to the previous states, and a more significant drop in ductility is observed for IF-HT at 980 °C. In contrast, LF-HT samples show a superior  $\varepsilon_f$  for annealing temperatures above 860 °C, with the elongation to failure reaching  $18.7 \pm 0.7$  % for LF-HT at 980 °C, representing a remarkable 450 % gain in ductility compared to the as-built state. This value is also 4.7 times higher than for IF-HT at the same annealing temperature. The impact energy in the as-built condition is low ( $4.8 \pm 0.2$  J); however, after HT at 720 °C, the value doubles comparatively to  $\varepsilon_f$ . With increasing annealing temperature,  $KV$  progressively increases, reaching  $15.2 \pm 0.6$  and  $24.8 \pm 1.2$  after IF-HT and LF-HT at 980 °C, respectively. Despite the scarcity of references on the impact toughness of Ti64 fabricated by L-PBF, the values found in this study are comparable to those reported in references [61–64].

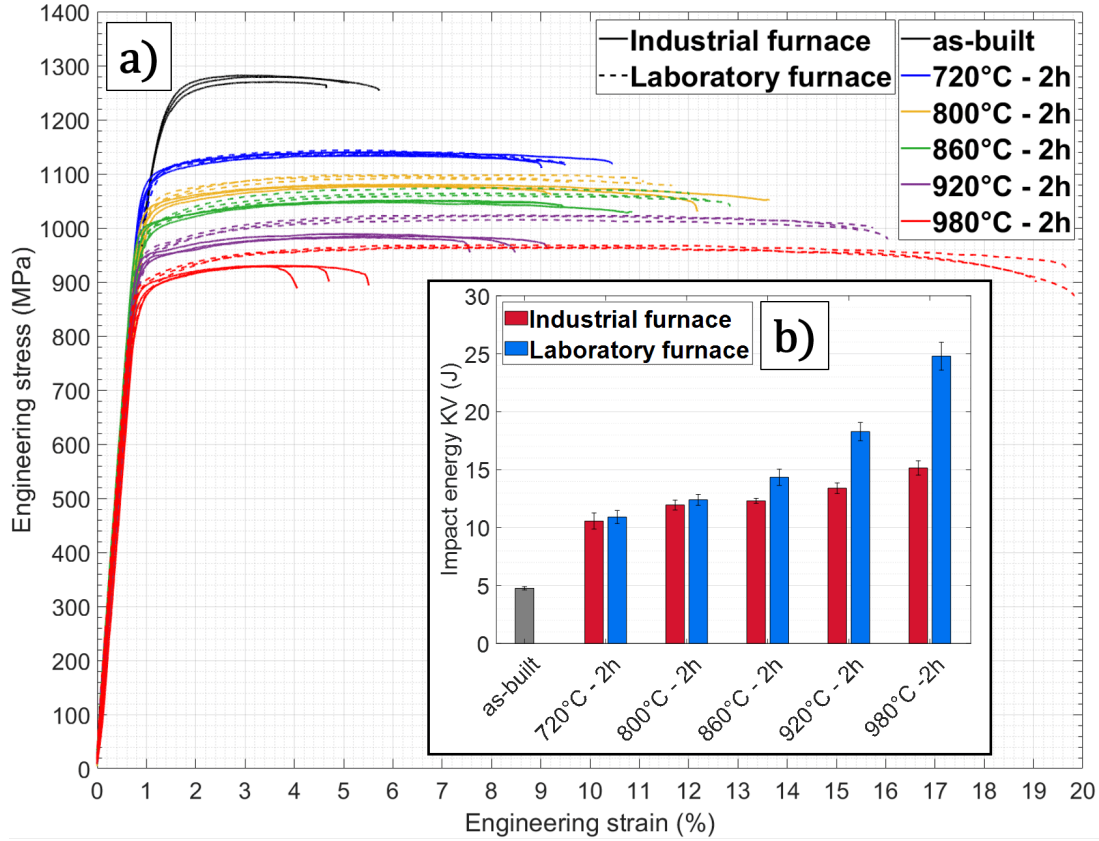


Figure 11: (a) Engineering stress-strain curves of the vertically built IF-HT and LF-HT samples, and (b) measured impact energy of the vertically built IF-HT and LF-HT samples.

Condition	Furnace	$\alpha$ laths thickness ( $\mu\text{m}$ )	$\beta$ phase fraction (%)	Alpha-case thickness ( $\mu\text{m}$ )	$HV_{1kg}$	$\sigma_y$ (MPa)	$\sigma_u$ (MPa)	$\epsilon_f$ (%)	KV (J)
As-built	-	0.34 $\pm$ 0.02	0	0	422 $\pm$ 3	1 161 $\pm$ 25	1 279 $\pm$ 15	4.1 $\pm$ 0.5	4.8 $\pm$ 0.2
720 °C - 2 h	IF	0.50 $\pm$ 0.03	2.2 $\pm$ 0.3	11 $\pm$ 1	394 $\pm$ 4	1 087 $\pm$ 17	1 138 $\pm$ 13	8.7 $\pm$ 0.9	10.6 $\pm$ 0.7
	LF	0.48 $\pm$ 0.03	2.1 $\pm$ 0.3	0	395 $\pm$ 3	1 089 $\pm$ 13	1 138 $\pm$ 14	7.8 $\pm$ 1.0	10.9 $\pm$ 0.6
800 °C - 2 h	IF	0.72 $\pm$ 0.05	3.3 $\pm$ 0.3	27 $\pm$ 1	381 $\pm$ 3	1 027 $\pm$ 15	1 078 $\pm$ 11	10.8 $\pm$ 2.6	11.9 $\pm$ 0.4
	LF	0.70 $\pm$ 0.06	3.3 $\pm$ 0.4	0	384 $\pm$ 3	1 037 $\pm$ 17	1 095 $\pm$ 12	9.8 $\pm$ 1.8	12.4 $\pm$ 0.5
860 °C - 2 h	IF	0.98 $\pm$ 0.09	4.0 $\pm$ 0.3	48 $\pm$ 2	373 $\pm$ 3	995 $\pm$ 18	1 049 $\pm$ 11	9.0 $\pm$ 1.0	12.3 $\pm$ 0.3
	LF	0.94 $\pm$ 0.09	4.3 $\pm$ 0.4	0	376 $\pm$ 3	990 $\pm$ 15	1 066 $\pm$ 16	11.5 $\pm$ 0.8	14.3 $\pm$ 0.7
920 °C - 2 h	IF	1.48 $\pm$ 0.10	5.1 $\pm$ 0.4	90 $\pm$ 5	357 $\pm$ 3	936 $\pm$ 15	985 $\pm$ 10	7.6 $\pm$ 0.9	13.4 $\pm$ 0.5
	LF	1.39 $\pm$ 0.13	5.5 $\pm$ 0.5	0	361 $\pm$ 3	949 $\pm$ 17	1 020 $\pm$ 13	14.9 $\pm$ 0.7	18.3 $\pm$ 0.8
980 °C - 2 h	IF	3.15 $\pm$ 0.26	7.0 $\pm$ 0.5	185 $\pm$ 8	339 $\pm$ 5	877 $\pm$ 16	929 $\pm$ 9	4.0 $\pm$ 0.8	15.2 $\pm$ 0.6
	LF	2.82 $\pm$ 0.25	7.6 $\pm$ 0.8	0	346 $\pm$ 4	892 $\pm$ 14	965 $\pm$ 11	18.7 $\pm$ 0.7	24.8 $\pm$ 1.2

Table 2: Microstructural parameters and mechanical properties of Ti64 fabricated using L-PBF in the as-built condition and after various sub-transus IF-HT and LF-HT processes.



### 3.4 Oxygen embrittlement and generation of strain-induced cracks

Figure 12 presents SEM-SE pictures taken at the edge of the fracture surface of broken tensile samples after IF-HT at 860 °C, 920 °C, and 980 °C. Each fracture surface shows two distinct zones. Just below the sample's surface, a brittle zone with an interlamellar fracture mode is visible. In contrast, in the bulk of the specimen below the brittle zone, a ductile zone with the presence of dimples is observed. The boundary between the brittle and ductile zones is clearly distinguishable, allowing for the measurement of the brittle zone thickness. The thickness values indicated in Figure 12 represent the average of 10 measurements taken along the perimeter of one specimen for each condition. These values are in close agreement with the alpha-case thicknesses estimated from microhardness profiles (see section 3.2). It is well-known that oxygen can embrittle titanium alloys, leading to a shift from ductile to brittle fracture mode when the oxygen content reaches 0.3 wt% or above [58, 65, 66]. Similarly, a ductile to brittle transition was observed at the edge of the fracture surface of the Charpy impact specimens after IF-HT.

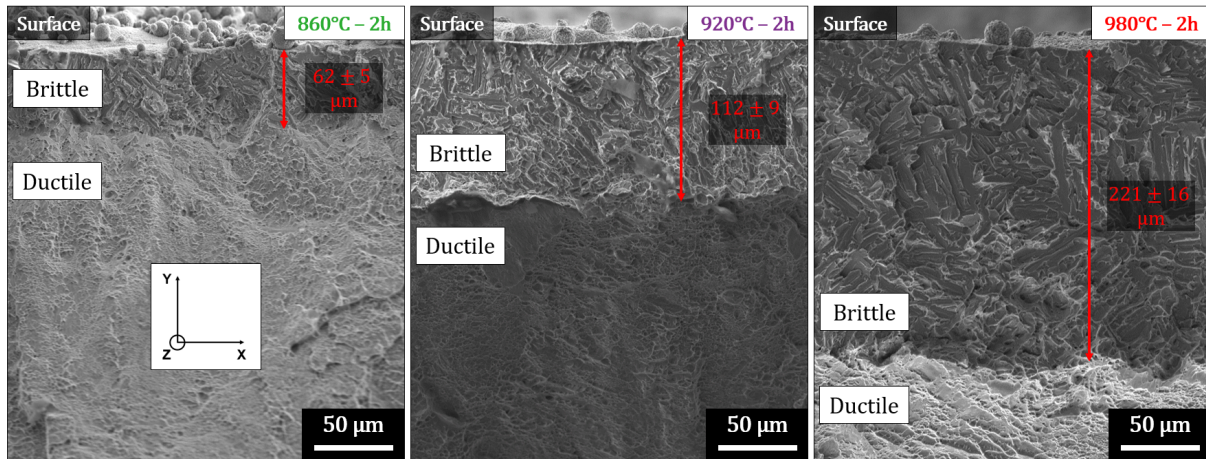


Figure 12: SEM-SE images captured at the edge of the fracture surface of Ti64 tensile specimens subjected to various sub-transus IF-HT.

After the tensile tests, the surface of each half-tensile specimen subjected to IF-HT exhibits a high density of open cracks, homogeneously disseminated along the gauge length of the specimen. As shown in Figure 13.a, these cracks are visible to the naked eye for IF-HT at 920 °C (and thus for IF-HT at 980 °C). Figures 13.b and 13.c show, respectively, a metallographic cross-section of the same specimen before and after chemical attack with Weck reagent. It can be observed that the distance between two consecutive cracks is approximately constant along the gauge length. Moreover, the depth of the cracks is almost equal to the alpha-case thickness, as highlighted after the chemical attack. On the post-mortem specimen, most of the cracks are blunt after crossing the alpha-case (see Figure 13.d). This behavior could be explained by a plastification phenomenon occurring around the curvature of the crack when it enters the ductile zone (see Figure 12).

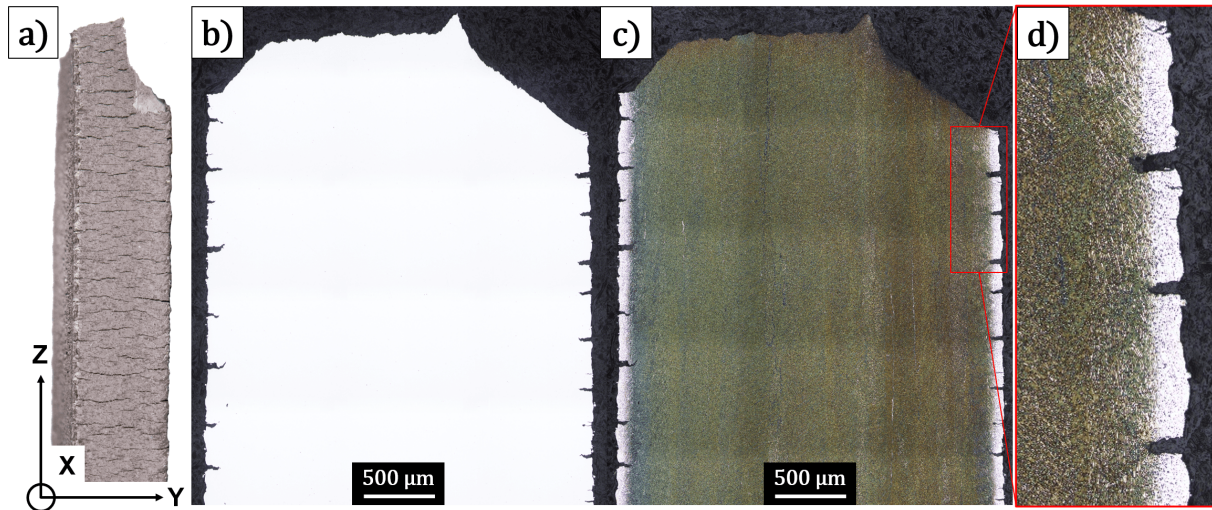


Figure 13: (a) Visualization of cracks on the surface of a post-mortem tensile specimen subjected to IF-HT at 920 °C for 2 hours. Visualization of cracks on a cross-section of the same specimen before (b) and after (c) chemical attack with Weck reagent. (d) Visualization of blunt cracks at the alpha-case boundary.

370 To investigate the formation and growth kinetics of these cracks, in-situ tensile tests were  
 371 conducted in the SEM chamber on small specimens cut from the surface of a block after IF-HT  
 372 at 860 °C (see Figure 2). The examination of the sample's surface under secondary electrons  
 373 was performed on the oxidized surface, which presented an alpha-case layer around 50 μm deep  
 374 after IF-HT (see Figure 9 and Table 2). No cracks were visible on the sample's surface before the  
 375 tests. During the first test, conducted by gradually increasing the applied load, the first cracks  
 376 were observed at a load of 400 N, corresponding to an average macroscopic stress of of 460 MPa.  
 377 It should be noted that this stress value is less than half of the yield stress of the material in the  
 378 metallurgical state obtained after HT at 860 °C for 2 h (see Figure 11 and Table 2). Similarly  
 379 to the observation mentioned in Figure 13.a, the cracks were uniformly distributed across the  
 380 observed gauge length surface of the specimen. However, these cracks were barely open and  
 381 detectable under SEM imaging. Another test was conducted to study the growth and opening  
 382 kinetics of a crack, from a macroscopic stress of 765 MPa until the specimen's failure. Figure  
 383 14.a presents the evolution of the crack during the tensile test. The first four pictures were taken  
 384 in the elastic domain, the fifth picture during the transition from elasticity to plasticity, and  
 385 the last four pictures were taken in the plastic domain. Figures 14.b and 14.c show respectively  
 386 the corresponding stress-displacement curve and the distance between the crack lips measured  
 387 at the middle of the SEM-SE pictures. The dots numbered in Figures 14.b and 14.c correspond  
 388 to the picture numbers in Figure 14.a. In the elastic domain, the crack remains almost closed,  
 389 with a very slight increase in the opening value from 765 MPa (dot 1) to 935 MPa (dot 4).  
 390 Upon transitioning to the plastic domain, the crack starts to open faster, and the growth is  
 391 mainly located in the plastic domain. The dotted red line plotted in Figure 14.c is a linear  
 392 approximation calculated between dots 5 to 8. This indicates that in the plastic domain, the  
 393 crack opening kinetics at the surface is almost constant for a constant displacement rate, leading  
 394 to a distance between the crack lips proportional to the displacement. This suggests that the



395 opening kinetics of the cracks are controlled by the applied strain. After failure (dot 9), the  
 396 crack opening shows a slight decrease that could be explained by the elastic springback of the  
 397 sample. In a nutshell, the cracks formed in the alpha-case during tensile loading appear in the  
 398 elastic domain, and their opening is primarily induced by plasticity.  
 399

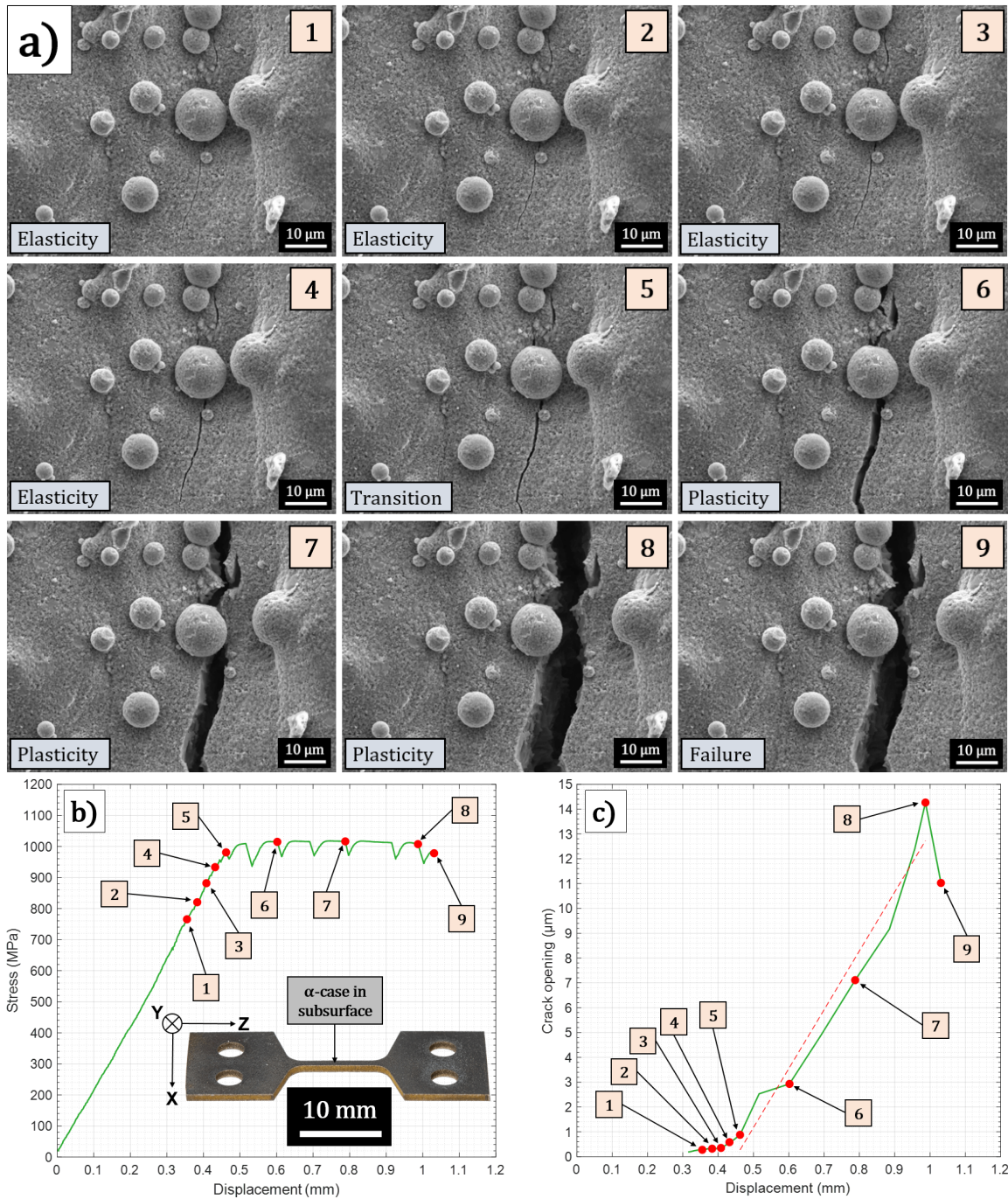


Figure 14: (a) Evolution of a strain-induced crack during an *in situ* tensile test. (b) Corresponding stress-displacement curve obtained from a micro-tensile sample cut in the block after IF-HT at 860 °C for 2 h. (c) Corresponding measurements of the distance between the crack lips taken at the middle of each picture in (a).



---

## 4 Discussion

### 4.1 Role of annealing temperature on microstructural evolution

The microstructure consisting of 100 % entangled martensitic needles within prior  $\beta$  grains, is consistent with observations presented in the literature [7, 25, 28, 67] for the as-built condition. As shown in Figure 7, the as-built condition exhibits highly heterogeneous sizes of the  $\alpha'$  needles, with an average  $\alpha'$  laths thickness of  $0.34 \pm 0.02 \mu\text{m}$ . After post L-PBF HT, the final microstructure strongly depends on the annealing temperature. For all the studied temperatures, martensite decomposition ( $\alpha' \rightarrow \alpha + \beta$ ) occurs, and  $\beta$  peaks are detected by XRD as presented in Figure 5. As indicated in Figure 15.a, holding the alloy at high temperatures ( $> 700 \text{ }^\circ\text{C}$ ) leads to an increasing fraction of the  $\beta$  phase at equilibrium, ultimately reaching 100 % of  $\beta$  phase at the transus temperature. Figure 15.b clearly illustrates that the higher the  $\beta$  phase fraction, the closer the alloying element content in  $\beta$  to the nominal content in the alloy (10.50 at% for Al and 3.46 at% for V). During slow furnace cooling, a low fraction of  $\beta$  matrix formed at high annealing temperatures can become stabilized at room temperature (Figure 15.a), resulting in a higher content of the  $\beta$ -stabilizing element V (Figure 15.b). Furthermore, holding the material at higher annealing temperatures followed by a slow cooling rate allows a higher concentration of V to diffuse from the  $\alpha$  laths to the  $\beta$  matrix located along the boundaries of the  $\alpha$  grains (Figures 6.a and 6.b), leading the material to approach a closer-to-equilibrium state [32, 53, 68]. Consequently, there is an increasing concentration of stabilized and retained  $\beta$  phase fraction at room temperature with increasing annealing temperature, as shown in Figure 5.b and 6.c. Figure 5.b also indicates that the lattice parameter of the  $\beta$  phase increases with annealing temperature, as evidenced by the shift in the Bragg angle of the  $\beta$  (110) peak towards lower values. This observation aligns with findings from other authors who studied similar sub-transus heat treatments at different annealing temperatures followed by furnace cooling [29, 30]. However, no specific explanation was provided in their work for this particular result. In the  $\beta$  phase, it is known that higher vanadium content leads to a smaller lattice parameter [69, 70], due to the fact that both the substitutional solid solution elements V (0.132 nm) and Al (0.143 nm) have smaller atomic radii than Ti (0.147 nm). Furthermore, for post L-PBF heat treatments with fixed annealing temperatures but increasing holding times, Casadebaigt et al. [58] (HT at  $600 \text{ }^\circ\text{C}$ ) and Cao et al. [21] (HT at  $800 \text{ }^\circ\text{C}$ ) observed a shift of the  $\beta$  (110) peak towards higher angles, which they attributed to the solute redistribution of Al and V during prolonged annealing. In the present work, the shift towards smaller angles could be attributed to an interface effect between the  $\alpha$  and  $\beta$  phases. The BOR [55] governs the crystallographic orientation for both the  $\beta \rightarrow \alpha$  and  $\alpha \rightarrow \beta$  transformations, resulting in a crystallographic correspondence  $\{0001\}_\alpha // \{110\}_\beta$  at the  $\alpha/\beta$  interfaces in titanium alloys [71, 72]. To accommodate the small misfit between the *hcp* and the *bcc* structures and enable the formation of a coherent  $\alpha/\beta$  interface, lattice distortions in the  $\beta$  phase have been reported in a metastable  $\beta$ -Ti alloy [73]. The results shown in Figure 6.a indicate that the higher the annealing temperature, the larger the  $\beta$  grains along the  $\alpha$  grain boundaries. In the case of thicker  $\beta$  grains, the possible

439 lattice distortions near the interface may have a lesser impact on the overall lattice structure,  
 440 which could explain the increase in the lattice parameter obtained by XRD for the  $\beta$  (110) peak.  
 441

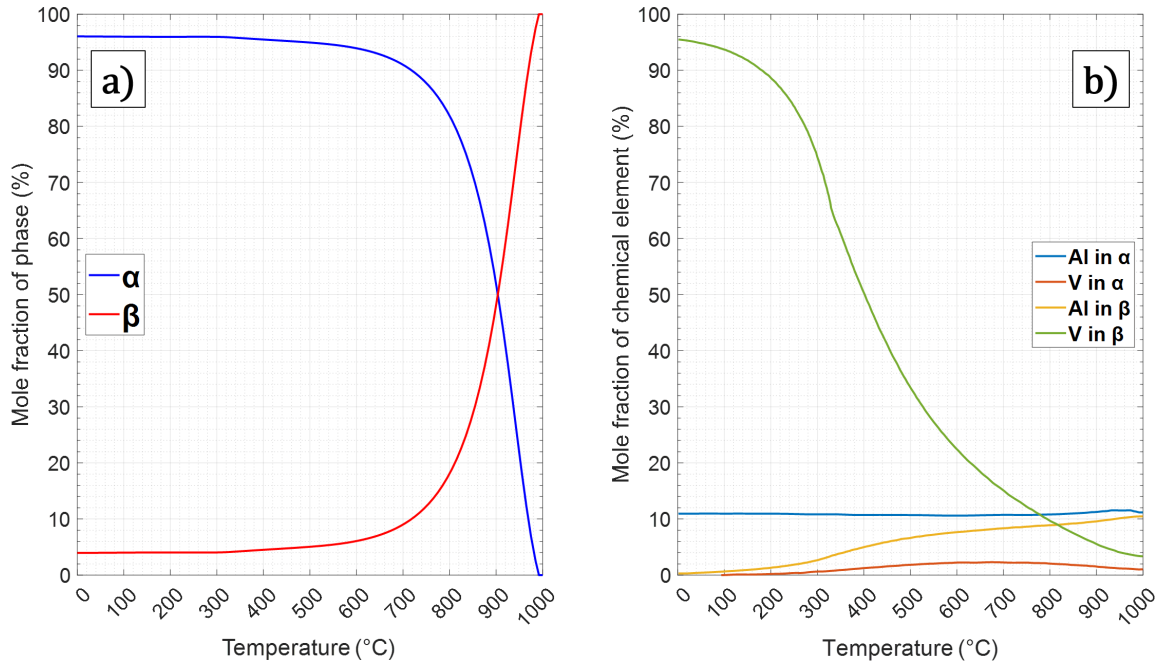


Figure 15: Thermo-Calc simulations performed using the Ti64 composition from Table 1 with the TCTI4 database to calculate: (a) the equilibrium mole fraction of  $\alpha$  and  $\beta$  phases as a function of temperature, and (b) the equilibrium mole fraction of Al and V in  $\alpha$  and  $\beta$  phases as a function of temperature.

442 The annealing temperature of post L-PBF HT plays a major role in the coarsening of  $\alpha$  laths.  
 443 As shown in Figure 8.c, the average thickness of the  $\alpha$  laths is maintained below 1  $\mu\text{m}$  for HT at  
 444 720 °C and 800 °C, but an exponential coarsening evolution is observed for HT above 860 °C.  
 445 For low temperature HT (600 °C <  $T$  < 800 °C), the restrained growth could be attributed  
 446 to an obstructing effect of the  $\alpha$  lath boundaries. Since the  $\beta$  phase fraction at equilibrium  
 447 is limited (< 20 %, see Figure 15.a), only a few  $\alpha$  laths transform into  $\beta$ , thus limiting the  
 448 growth of the remaining  $\alpha$  laths. In contrast, for high-temperature HT (800 °C <  $T$  <  $T_{beta}$ ), a  
 449 significant fraction of  $\alpha$  laths is transformed into  $\beta$  (see Figure 15.a). During the furnace cooling  
 450 step, the  $\beta \rightarrow \alpha$  transformation is facilitated by the growth of the remaining  $\alpha$  phase towards  
 451 the  $\beta$  matrix located along the  $\alpha$  lath boundaries, explaining the coarsening of thickness while  
 452 the length of the  $\alpha$  laths remains relatively unaffected (see scales in Figure 7). This results in  
 453 a significant change in the aspect ratio of the  $\alpha$  laths. For higher annealing temperatures, the  
 454 reduction of interfacial energy may lead to the formation of globular grains during the coarsening  
 455 step, as depicted in Figure 3. It should also be noted that despite the significant increase in  
 456 the average  $\alpha$  laths thickness measured for HT at higher temperatures, the distribution of the  
 457  $\alpha$  laths thickness (see Figure 8) remains highly scattered. This highlights the fact that the  
 458 resulting microstructure after HT is strongly influenced by the acicular microstructure obtained  
 459 after L-PBF fabrication, with hierarchical sizes of the  $\alpha'$  needles [20].

---

460 The influence of the utilized furnace on microstructural evolution is minimal as the thermal  
461 cycles applied are very similar between IF-HT and LF-HT (see Figure 1). Consequently, the  
462 measured  $\beta$  phase fraction (Figure 6.c) and average  $\alpha$  laths thickness (Figure 8.c) show compa-  
463 rable results for both equipment. Only a slight reduction in  $\alpha$  laths thickness was observed for  
464 LF-HT at high annealing temperature. The higher cooling rates achieved in LF-HT compared  
465 to IF-HT might be a contributing factor, as they allowed for a shorter time at high temperature,  
466 thereby limiting the growth of  $\alpha$  laths [28, 68].

467 In conclusion, the acicular microstructure generated by L-PBF can be transformed into a  
468 close-to-equilibrium microstructure through post-processing sub-transus HT. The size of the  
469 resulting  $\alpha$  laths, as well as the fraction of retained  $\beta$  phase, and hence the mechanical response  
470 of the alloy, can be optimized by selecting an appropriate annealing temperature. The closer the  
471 temperature to the transus, the higher the amount of martensite that transforms into  $\beta$  phase  
472 at the annealing temperature and the faster the diffusion of the alloying elements. As a result,  
473 closer temperatures to the transus lead to thicker  $\alpha$  laths and higher  $\beta$  phase fractions. This  
474 trend is particularly evident under slow cooling conditions, allowing for vanadium diffusion and  
475  $\beta$  phase stabilization during cooling. Based on the obtained results, the temperature range of  
476 [860 °C ; 920 °C] appears to be suitable for controlling the trade-off between  $\alpha$  laths size and  $\beta$   
477 phase fraction, thereby achieving a favorable compromise between strength and ductility.

## 478 4.2 Role of annealing temperature on alpha-case formation

479 Unlike the microstructural evolution, the choice of the heating furnace plays a major role  
480 in alpha-case formation. As shown in Figures 16.a and 16.b, no alpha-case was revealed by  
481 chemical etching after LF-HT, while an alpha-case layer whose depth increases with increasing  
482 temperatures was revealed after IF-HT. Figures 1.a and 1.b illustrate the significant difference  
483 in vacuum pressure attained in the industrial furnace ( $< 5 \times 10^{-4}$  mbar, i.e. secondary vacuum)  
484 compared to the laboratory furnace ( $< 5 \times 10^{-2}$  mbar, i.e. primary vacuum). This indicates  
485 that the furnace atmosphere, although crucial for controlling the oxidation kinetics of the Ti64  
486 alloy at high temperatures [74, 75], is not the sole factor in preventing alpha-case formation  
487 on the components. The differences observed between IF-HT and LF-HT can be attributed to  
488 various factors, including the volumetric dimensions of the chambers and the materials used for  
489 insulation and heating elements. The industrial furnace has a much larger effective capacity  
490 ( $0.25 \text{ m}^3$ ) compared to the laboratory furnace ( $5 \times 10^{-3} \text{ m}^3$ ), which may make maintaining air-  
491 tightness more challenging in the former. Additionally, the use of fiber/wool insulation can  
492 influence oxidation during HT (degassing), thus facilitating the formation of alpha-case.

493 The results presented in Figures 9 (metallography with Weck reagent), 10 (microhardness  
494 profiles), 12 (brittle layer at the edge of the rupture surface), and 13 (cracks at the surface of  
495 tensile specimens) demonstrate that the impact of the alpha-case layer is measurable through  
496 various characterization techniques. Hence, it is possible to evaluate, from each technique,

497 the thickness of the sub-surface layer of material affected by the presence of a higher oxygen  
498 concentration than the bulk material. The thicknesses of this so-called Oxygen Affected Zones  
499 (OAZ) measured from the aforementioned results are plotted in Figure 16.a. For the five IF-HT  
500 temperatures, the OAZ measured by different techniques are comparable, indicating that the  
501 presence of oxygen is responsible for the change in hardness and consequently the shift in the  
502 fracture mode from ductile to brittle near the surface.  
503 The diffusion of oxygen in titanium and its alloys tends to follow parabolic kinetics [41, 76,  
504 77]. Therefore, it can be modeled using the solution of Fick's second law in a semi-infinite  
505 solid (equation 1). This model assumes a constant concentration  $C_S$  at the surface, a constant  
506 initial concentration  $C_0$  in the bulk of the metal, and a constant diffusion coefficient  $D$  under  
507 isothermal conditions [38, 58, 77, 78]:

$$C(x, t) = C_0 + (C_S - C_0) \times \left(1 - \operatorname{erf}\left(\frac{x}{2\sqrt{Dt}}\right)\right) \quad (1)$$

508 Considering that the OAZ depth values are measured at a distance from the surface where the  
509 oxygen concentration  $C(OAZ, 2h)$  is close to the bulk concentration  $C_0$ , the criterion  $OAZ =$   
510  $4 \times \sqrt{D \times 2h}$  was chosen. This criterion, according to equation 1, yields a ratio  $(C(x, t) -$   
511  $C_0)/(C_S - C_0) = 1 - \operatorname{erf}(2) \approx 0,005$ . Using this criterion, the values of  $D$  for the five an-  
512 nealing temperatures were calculated based on the OAZ measurements obtained from different  
513 techniques, as shown in Figure 16.a. The resulting data are plotted on the Arrhenius diagram  
514 displayed in Figure 16.b and were fitted to obtain the pre-exponential factor  $D_0$  and the activa-  
515 tion energy  $Q$  for the diffusion of oxygen in L-PBF Ti64, using the following equation:

$$D(T) = D_0 \times \exp\left(\frac{-Q}{RT}\right) \quad (2)$$

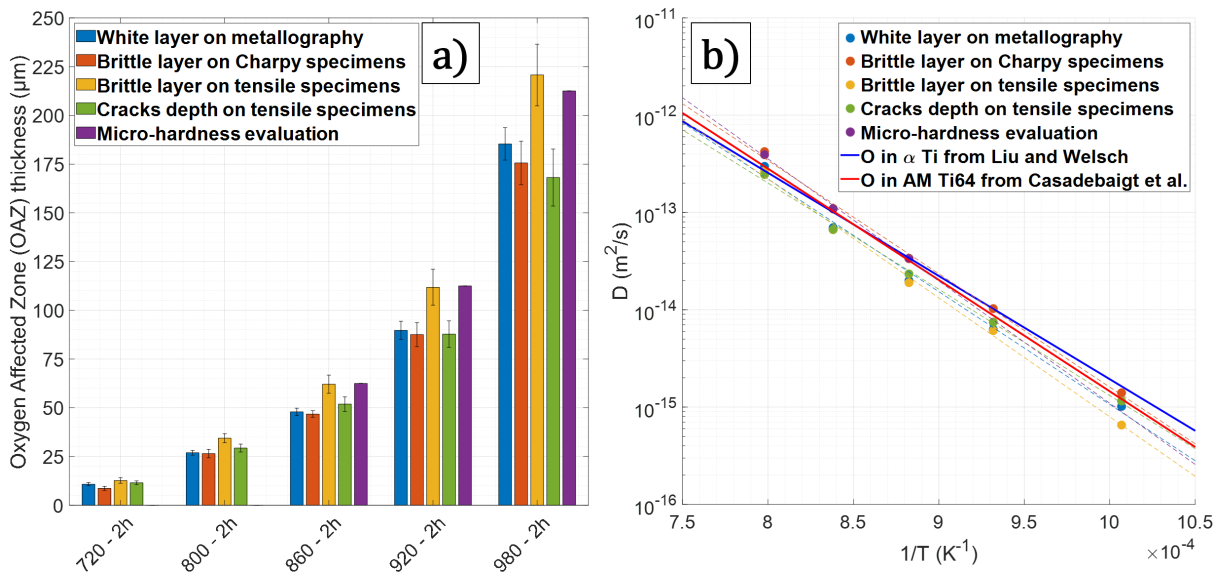


Figure 16: (a) Evaluation of the thickness of the Oxygen Affected Zone (OAZ) using various techniques, and (b) Arrhenius plot showing the oxygen diffusion coefficients in Ti64 obtained in this study compared with data from references [79] and [58].

516 The values  $D_0$  and  $Q$  obtained from the fits using the OAZ data measured with different tech-  
517 niques are listed in Table 3. As indicated by the blue and red straight lines in Figure 16.b, the  
518 obtained values are close to those reported by Liu and Welsch for the diffusivity of oxygen in  $\alpha$   
519 pure titanium [79], and even closer to those found by Casadebaigt et al. for the diffusivity of  
520 oxygen in Ti64 fabricated by additive manufacturing (L-PBF and Electron Beam Melting) [58].  
521

Measurement technique	$D_0$ ( $\text{m}^2 \text{s}^{-1}$ )	$Q$ ( $\text{kJ mol}^{-1}$ )
White later on metallography	$4.08 \times 10^{-4}$	222.7
Brittle layer on Charpy specimens	$1.30 \times 10^{-3}$	233.9
Brittle layer on tensile specimens	$7.08 \times 10^{-4}$	222.9
Cracks depth on tensile specimens	$1.09 \times 10^{-4}$	209.0
Microhardness evaluation	$4.00 \times 10^{-3}$	240.4
<b>Mean values</b>	<b><math>1.31 \times 10^{-3}</math></b>	<b>225.8</b>

Table 3: Pre-exponential factors  $D_0$  and activation energies  $Q$  calculated from the fitted data in Figure 16.b.

522 As direct measurements of the oxygen concentration profile were not established in this work, it  
523 is assumed that some of the indirect measurement techniques proposed may lead to an under-  
524 estimation or an overestimation of the diffusivity of oxygen in L-PBF Ti64. Consequently, to  
525 simulate oxygen concentration profiles for the IF-HT conditions, the mean values of the diffu-  
526 sion coefficient parameters  $D_0$  and  $Q$  were considered (see Table 3). The parameter  $C_0$  is fixed  
527 equal to 0.48 at% (0.17 wt%), which corresponds to the bulk concentration of the Ti64 printed  
528 material used in this work and was measured by infrared absorption of combustion gases with  
529 a LECO TC-436 (O,N) analyzer. The parameter  $C_S$  is fixed at 33 at%, which is the solubility  
530 limit of oxygen in the  $\alpha$  phase of titanium [38]. Using these parameters in equation 1, the oxygen  
531 concentration profiles in the sub-surface of IF-HT samples are simulated in Figure 17.a. The  
532 Oxygen Diffusion Zones (ODZ) indicated by black cross marks are defined as the depths where  
533 an oxygen concentration of 0.6 at% ( $\approx 0.2$  wt%) is reached. This value represents the upper limit  
534 of oxygen content in ASTM grade 5 Ti64. The vertical dashed lines indicate the depths where  
535 an oxygen concentration of 1 at% is reached. This value is referred to as the oxygen content  
536 above which the ductility of Ti64 decreases drastically [80, 81]. Interestingly, the depth values  
537 located at the positions of the dashed lines (corresponding to 1 at% oxygen concentration) are  
538 very similar to the thicknesses of the brittle layer measured on tensile specimens (see Figures 12  
539 and 16.a). This suggests that the transition from ductile to brittle behavior is likely to occur  
540 for oxygen concentrations as low as around 1 at%, which is consistent with other literature  
541 findings [58, 80, 82]. The hardness of titanium and its alloys is sensitive to small variations in  
542 oxygen content [39, 66, 83, 84]. Therefore, the simulated oxygen concentrations displayed in  
543 Figure 17 can be compared to the microhardness values given in Figure 10. The evolution of  
544 hardness with oxygen content follows a parabolic law: Vaché and Monceau highlighted that a

545 linear relationship exists between hardness values and the square root of oxygen atomic percent  
 546 values for commercially pure titanium and Ti-6242  $\alpha$  titanium alloy [41]. Figure 18 shows that  
 547 such a relationship is also found for L-PBF Ti64, with consistent coefficients of determination  
 548  $R^2$  obtained. For the three linear regressions of the microhardness values obtained from IF-  
 549 HT samples annealed at 860 °C, 920 °C and 980 °C, the slopes of the fitted relations vary.  
 550 This feature indicates that the microstructural evolution needs to be taken into account when  
 551 evaluating oxygen hardening in Ti64 alloy. Finally, the linear regressions plotted in Figure 18  
 552 support the validity of the oxygen concentration simulation (Figure 17) and hence the reliabil-  
 553 ity of the experimental coefficients found in this study for the diffusion of oxygen in L-PBF Ti64.  
 554

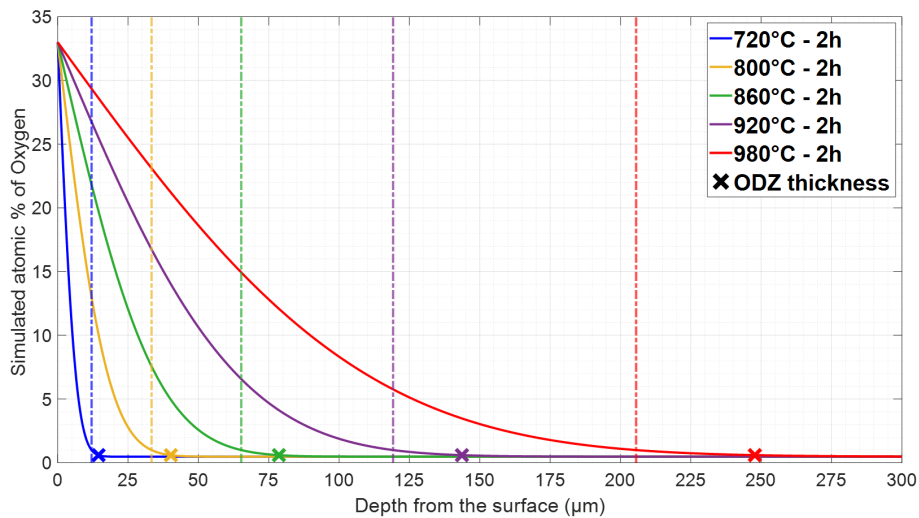


Figure 17: Simulated oxygen concentrations in the sub-surface of IF-HT samples obtained using equation 1. The vertical dash lines indicate the depths where an oxygen concentration of 1 at% is reached.

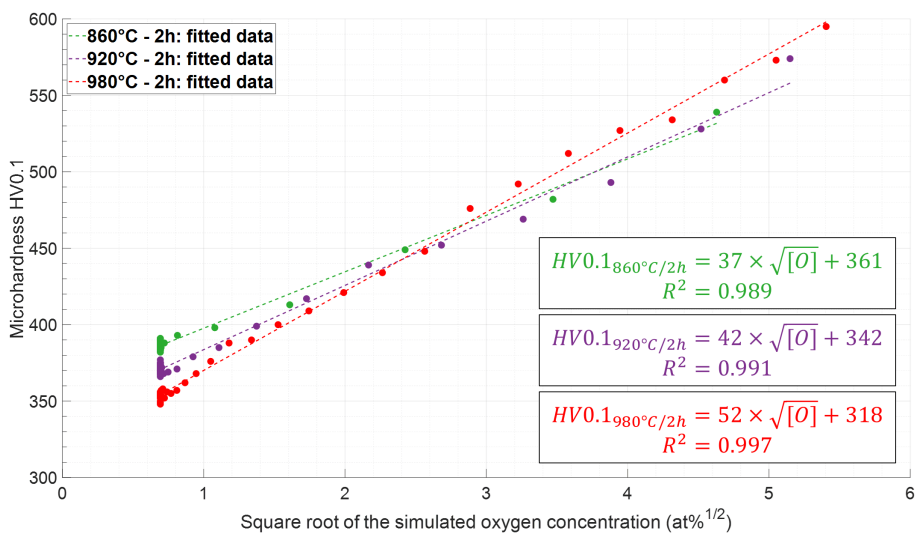


Figure 18: Microhardness values measured in the sub-surface of Ti64 samples subjected to various LF-HT shown in Figure 10, plotted as a function of the square root of the simulated oxygen atomic concentration displayed in Figure 17.



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### 555 4.3 Impact of microstructural evolution on mechanical properties

556 Average tensile properties evaluated from stress-strain curves of vertically built IF-HT and  
557 LF-HT samples (Figure 11.a) are plotted in Figure 19. The evolution of strength ( $\sigma_y$  and  $\sigma_u$   
558 in Figure 19.a) and hardness properties ( $HV_{1kg}$  in Figure 4) is in good agreement with the  
559 microstructural characterization performed on both IF-HT and LF-HT samples. As mentioned  
560 in section 4.1, the microstructure (prior  $\beta$  grains,  $\alpha$  laths thickness,  $\beta$  phase fraction) obtained  
561 after IF-HT and LF-HT conditions is very similar, resulting in similar properties as well. Only a  
562 slight increase in  $HV_{1kg}$  and  $\sigma_y$  is noticed for LF-HT samples at higher annealing temperatures  
563 compared to IF-HT samples. This observation could be attributed to the higher cooling rates  
564 obtained for LF-HT samples, leading to a slightly finer microstructure. The hardness and  $\sigma_y$  of  
565 Ti64 primarily depend on the characteristic sizes of the microstructure, especially the  $\alpha$  laths  
566 thickness [4, 28, 85]. As shown in Figure 20, a clear correlation can be established between the  
567 yield stress and/or the hardness with the inverse square root of the measured average apparent  
568 thickness of the  $\alpha$  laths ( $\bar{d}$  from Figure 8). This reveals that  $\sigma_y$  (hence  $HV$ ) follows a Hall-Petch  
569 relationship with the  $\alpha$  laths thickness. Similar relationships have been established in other  
570 studies for Ti64 fabricated by L-PBF [21, 68, 86], as well as with other fabrication routines [87].  
571 From this plot and using the indicated coefficients obtained in Figure 20, it would be possible to  
572 estimate the characteristic size of the  $\alpha$  laths from a simple hardness measurement and forecast  
573 an expected yield stress value at the same time.

574 The  $\sigma_u$  measured for LF-HT samples at higher annealing temperatures are greater than those  
575 measured for IF-HT samples. As shown in the tensile stress-strain curves in Figure 11.a, the  
576 strain hardening is limited for samples after IF-HT at 920 °C and 980 °C, as the highest mea-  
577 sured stress values (hence  $\sigma_u$ ) are located close to the fracture zone.

578 Previous studies focusing on the effects of sub-transus HT on the tensile behavior of L-PBF  
579 Ti64 parts have often reported that the closer the temperature to the transus, the higher the  
580 elongation to failure [30, 49, 52, 68]. This behavior is observed for LF-HT samples in Figure  
581 19.b. Very high elongations to failure, comparable to those of wrought Ti64, are obtained for the  
582 highest sub-transus LF-HT temperatures. Furthermore, even though the samples in the present  
583 study were tested with their raw surface state, the elongation values are similar to those obtained  
584 with machined Ti64 L-PBF samples [88]. In contrast, the  $\epsilon_f$  of IF-HT samples decreases for  
585 annealing temperatures of 860 °C and above. The main highlighted difference between IF-HT  
586 and LF-HT microstructures is the presence of an alpha-case at the sub-surface. Therefore, it  
587 is expected from these results that the alpha-case layer plays a major role in decreasing the  
588 ductility of the Ti64 alloy. This result emphasizes the importance of considering the heating  
589 environment when designing post L-PBF HT for Ti64 alloy. The role of alpha-case thickness on  
590 the ductility drop is further discussed in section 4.4 hereafter.

591 The impact properties measured on Charpy V-notch specimens (Figure 11.b and table 19) show  
592 a similar trend in elongation to failure for IF-HT and LF-HT below 860 °C. However, contrary  
593 to ductility, the resilience of the material after IF-HT is slightly improved at annealing tempera-  
594 tures of 860 °C and above. Nevertheless, the values obtained for samples after LF-HT at 860 °C  
595 and above are significantly better than the ones obtained after IF-HT. The improved resilience of

596 LF-HT samples aligns with the coarsening of  $\alpha$  laths and the increased  $\beta$  phase fraction, as the  
 597 impact toughness of Ti64 alloy is primarily influenced by the size of microstructural elements  
 598 that cause bifurcation of the crack propagation path [89, 90]. However, the highest impact  
 599 toughness obtained in this work ( $24.8 \pm 1.2$  J) is still lower than the  $\approx 30 - 40$  J impact tough-  
 600 ness measured for Ti64 fabricated using conventional processes that exhibit a typical lamellar,  
 601 equiaxed, or bimodal microstructure [63, 89–91].  
 602

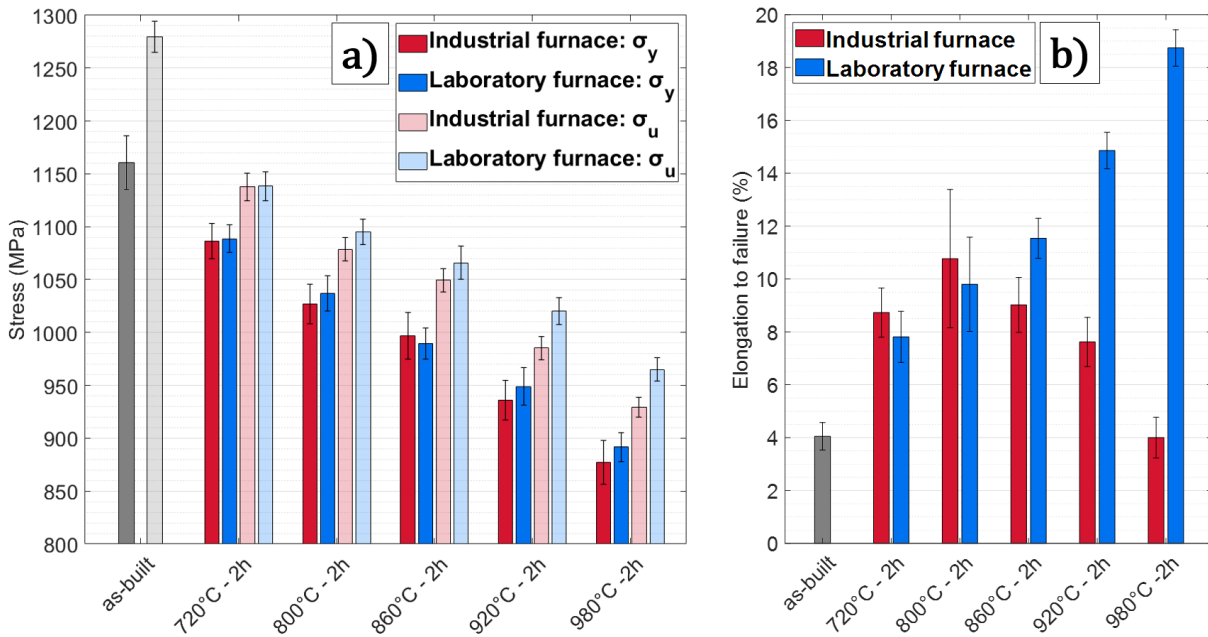


Figure 19: Evolution of tensile properties of the vertically built samples in the as-built condition and subjected to various IF-HT and LF-HT: (a) Yield stress  $\sigma_y$  and ultimate tensile strength  $\sigma_u$ , (b) Elongation to failure  $\epsilon_f$ .

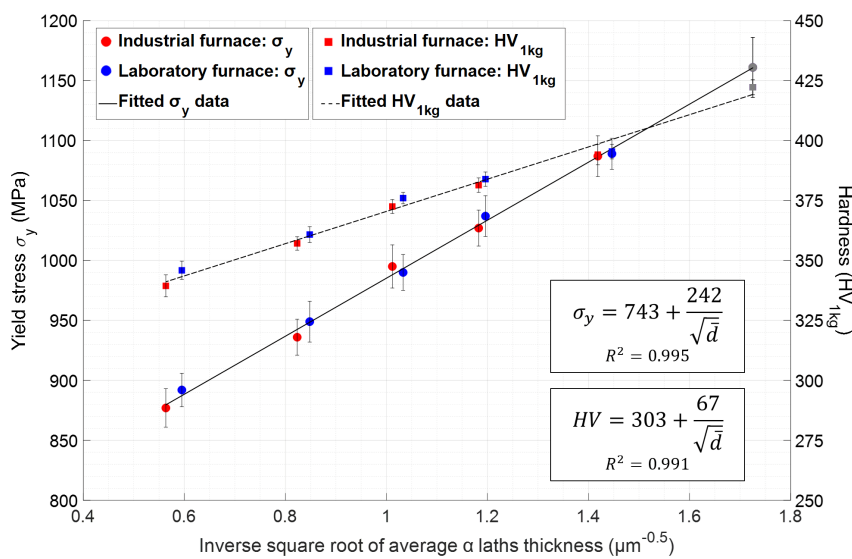


Figure 20: Evolution of the measured yield stress and hardness as a function of the inverse square root of the measured average apparent thickness of the  $\alpha$  laths.



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#### 603 4.4 Impact of alpha-case depth on embrittlement

604 Figures 13 indicate that cracks are generated along the gauge length surface of the sample  
605 during the tensile test and propagate in a brittle manner (see Figure 12) across the alpha-case  
606 thickness, which corresponds to the area with the highest oxygen concentration in the material  
607 (see Figure 17). As shown in Figure 19.b, the presence of an alpha-case thickness of approxi-  
608 mately 10 – 25  $\mu\text{m}$  (IF-HT at 720  $^{\circ}\text{C}$  and 800  $^{\circ}\text{C}$ ) does not seem to significantly influence the  
609 elongation to failure for 3 mm thick tensile samples. However, for alpha-case thicknesses of  
610 approximately 50  $\mu\text{m}$  (IF-HT at 860  $^{\circ}\text{C}$ ),  $\varepsilon_f$  is slightly lower compared to the LF-HT sample  
611 without alpha-case. Finally, alpha-case thicknesses of approximately  $\approx 100 - 200 \mu\text{m}$  (IF-HT  
612 at 920  $^{\circ}\text{C}$  and 980  $^{\circ}\text{C}$ ), which cause the presence of deeper strain-induced cracks, are extremely  
613 detrimental to the ductility of the sample:  $\varepsilon_f$  is respectively half and four times lower than for  
614 similar samples without alpha-case.

615 From the results presented in this work, it is, however, difficult to understand the mechanisms  
616 explaining the impact of the deeper strain-induced cracks on ductility. A first hypothesis is  
617 that the stress concentration at the crack tips is high enough to initiate crack propagation in  
618 the bulk material and lead to premature failure starting from the surface. However, as most of  
619 the cracks presented in Figure 13 are blunt and do not propagate beyond the alpha-case, this  
620 assumption is questionable. A second hypothesis is that the damage is initiated in the bulk, but  
621 because of the stress concentration at the crack tips, the material's ability to plastically deform  
622 is drastically reduced, leading to sample fracture with little plastic strain necessary. To verify  
623 the validity of either hypothesis, *in situ* tensile tests combined with X-ray tomography could be  
624 performed to determine whether the damage initiates at the crack tips or in the bulk [92].

625 The impact energy values obtained suggest that for IF-HT at the highest annealing temper-  
626 atures, the brittle layer limits the length of the crack propagation path and, therefore, the  
627 material's ability to absorb energy. However, the impact toughness of the material is less af-  
628 fected by oxygen embrittlement compared to the achievable ductility under tensile loading: the  
629 IF-HT condition with the worst  $f$  exhibits the best  $KV$  in this study. This result could be  
630 explained by a "size-effect" of the sample: the  $\approx 200 \mu\text{m}$  alpha-case for IF-HT at 980  $^{\circ}\text{C}$  affects  
631 only 5 % of the 8 mm thick normalized Charpy V-notch impact samples, while it affects more  
632 than 13 % of the 3 mm thick dog-bone shape tensile specimens. Furthermore, it is generally  
633 reported that fracture toughness is a combination of ductility and strength of the material. This  
634 illustrates that alpha-case embrittlement does not show the same effects on the material prop-  
635 erties depending on the loading mode.

636 One of the key findings of this study is the evidence of visible cracks under SEM in the alpha-  
637 case layer of a tensile sample loaded in the elastic domain (Figure 14). These cracks started  
638 to be detectable at tensile stress values that are half the measured yield stress of the material.  
639 This result suggests that, besides the ductility decrease for the highest annealing temperatures,  
640 alpha-case embrittlement could be highly detrimental to the fatigue performance of Ti64 al-  
641 loy fabricated by L-PBF and subjected to an industrial post-processing HT with a partially  
642 contained oxidizing environment.

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## 5 Conclusions

In this study, post Laser Powder Bed Fusion process (L-PBF) heat-treatments (HT) on Ti-6Al-4V (Ti64) samples with various sub-transus annealing temperatures were performed. To significantly modify the atmosphere, the HT were conducted using an industrial furnace (IF-HT conditions) and a laboratory furnace (LF-HT conditions). The objective of this study was to investigate the effects of microstructural evolution and alpha-case formation on the mechanical properties of L-PBF Ti64 parts that will not undergo any machining after fabrication. The following conclusions were drawn from this work:

- The as-built microstructure consists of entangled  $\alpha'$  martensitic needles that form within prior  $\beta$  grains during the rapid cooling of the L-PBF process. Sub-transus HT for 2 hours between 720 °C and 980 °C, followed by slow furnace cooling, results in the decomposition of martensite into  $\alpha + \beta$  equilibrium phases while preserving the prior  $\beta$  grain structure. Quantitative measurements revealed that the higher the annealing temperature, the greater the  $\beta$  phase fraction and the growth of  $\alpha$  grains. The bulk microstructures obtained for IF-HT and LF-HT were nearly identical.
- The IF-HT samples displayed a notable increase in alpha-case thickness with higher annealing temperatures, resulting in significantly elevated surface hardness. On the contrary, no alpha-case formation was observed in the LF-HT samples. Oxygen concentration exceeding 1 at% led to a transition from ductile to brittle fracture mode, causing the appearance of a brittle layer on the edge of the fracture surface in the mechanical specimens. Tensile samples exhibited crack formation along the gauge length surface, which propagated through the alpha-case.
- In the as-built condition, the tensile properties exhibit very high strength but poor ductility. The microstructural evolution during sub-transus HT helps achieve a balance between strength and ductility, with the yield stress being governed by the thickness of the  $\alpha$  laths through the Hall-Petch mechanism. However, the ductility of IF-HT samples decreases significantly for alpha-case depths  $\geq 50 \mu\text{m}$ . For IF-HT at 800 °C for 2 h, a favorable compromise is achieved, resulting in a strong material ( $\sigma_y > 1\,000 \text{ MPa}$ ) with good ductility ( $\epsilon > 10 \%$ ) when the tensile load is parallel to the building direction, with minimal effects of the approximately 25  $\mu\text{m}$  alpha-case on the tensile properties. On the other hand, without any alpha-case, the ductility can be further increased with higher temperatures, as demonstrated in LF-HT samples. The alloy exhibits a similar evolution in terms of ductility and impact toughness.
- Cracks formed at the sample surface during the tensile test are "strain-induced", and their opening rate at the surface is primarily controlled by the level of plastic strain. However, *in situ* investigations have revealed that these cracks actually initiate in the elastic domain, even at stress levels lower than half the yield stress. Therefore, further research should be undertaken to explore the potential influence of the alpha-case on the fatigue behavior of Ti64 alloy fabricated using L-PBF.

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## Data availability

The raw/processed data required to reproduce these findings cannot be shared at this time as the data also forms part of an ongoing study.

## CRedit authorship contribution statement

**Quentin Gaillard:** Conceptualisation, Writing - Original Draft, Investigation, Visualisation. **Xavier Boulnat:** Conceptualisation, Supervision, Writing - Review & Editing. **Sophie Cazottes:** Investigation, Supervision, Writing - Review & Editing. **Sylvain Dancette:** Supervision, Writing - Review & Editing. **Christophe Desrayaud:** Supervision, Validation, Funding acquisition.

## Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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